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VOLUME 15

ISSUE 8

VERSION 1.0



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NETWORK, WEB & SECURITY

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VOLUME 15 ISSUE 8 (VER. 1.0)

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## CONTENTS OF THE ISSUE

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- i. Copyright Notice
  - ii. Editorial Board Members
  - iii. Chief Author and Dean
  - iv. Contents of the Issue
- 
- 1. Spectrum Assignment Scheme based on Genetic Algorithm for Cognitive Radio Receiver. **1-5**
  - 2. Channel Sharing based Medium Access Control Protocol for Wireless Nano Sensing Network. **7-12**
  - 3. Mobile Object-Tracking Approach using a Combination of Fuzzy Logic and Neural Networks. **13-19**
  - 4. Performance Evaluation of Spatial Multiplexing MIMO-OFDM System using MMSE Detection under Frequency Selective Rayleigh Channel. **21-27**
  - 5. A Comparative Study on Location based Multicast Routing Protocols of WSN:HGMR,HRPM,GMR. **28-33**
  - 6. PAPR Reduction using PTS-PSO Technique for  $16 \times 16$  MIMO-OFDM Systems with 16-QAM. **34-39**
- 
- v. Fellows and Auxiliary Memberships
  - vi. Process of Submission of Research Paper
  - vii. Preferred Author Guidelines
  - viii. Index



# Spectrum Assignment Scheme based on Genetic Algorithm for Cognitive Radio Receiver

By Veena Gawde, B. K. Mishra & Rajesh Bansode

*University of Mumbai, India*

**Abstract-** Spectrum scarcity is one of the major challenges that the present world is facing. The efficient use of existing licensed spectrum is becoming most critical as growing demand of the radio spectrum. Different researches show that the use of licensed are not utilized inefficiently. It has been also shown that primary user does not use more than 60% of the licensed frequency band most of the time. There is need to find the techniques that can efficiently utilize the under-utilized licensed spectrum. One of the approaches is the use of "Cognitive Radio". This allows the radio to learn from its environment, changing certain parameters. Based on this knowledge the radio can dynamically exploit the spectrum holes in the licensed band of the spectrum. This paper focuses on the performance of spectrum allocation technique, based on popular meta-heuristics Genetics Algorithm. Analyzing the performance of this technique using Matlab achieves mean fitness of 9.41. It provides fittest channels to the cognitive user on the basis of four priority parameters (genes) viz frequency, power, BER and modulation.

**Keywords:** cognitive radio, genetic algorithm, spectrum assignment, decision making, optimization.

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# Spectrum Assignment Scheme based on Genetic Algorithm for Cognitive Radio Receiver

Veena Gawde <sup>α</sup>, B. K. Mishra <sup>σ</sup> & Rajesh Bansode <sup>ρ</sup>

**Abstract-** Spectrum scarcity is one of the major challenges that the present world is facing. The efficient use of existing licensed spectrum is becoming most critical as growing demand of the radio spectrum. Different researches show that the use of licensed are not utilized inefficiently. It has been also shown that primary user does not use more than 60% of the licensed frequency band most of the time. There is need to find the techniques that can efficiently utilize the under-utilized licensed spectrum. One of the approaches is the use of "Cognitive Radio". This allows the radio to learn from its environment, changing certain parameters. Based on this knowledge the radio can dynamically exploit the spectrum holes in the licensed band of the spectrum. This paper focuses on the performance of spectrum allocation technique, based on popular meta-heuristics Genetics Algorithm. Analyzing the performance of this technique using Matlab achieves mean fitness of 9.41. It provides fittest channels to the cognitive user on the basis of four priority parameters (genes) viz frequency, power, BER and modulation.

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## I. INTRODUCTION

The radio spectrum is a natural resource, and used by transmitters and receivers in a communication network. In the past years, end user became service oriented which increased demand of wireless applications resulting in increased demand of bandwidth caused spectrum scarcity. The efficient use of licensed spectrum becomes a subject of recent contributions [1]. One of the leading technologies to answer the spectrum overcrowding problem is Cognitive Radio.

Simon Haykin defines Cognitive Radio, it as follows [2]: "Cognitive radio is an intelligent wireless communication system that is aware of its surrounding environment (i.e., outside world), and uses the methodology of understanding-by-building to learn from the environment and adapt its internal states to statistical variations in the incoming RF stimuli by making corresponding changes in certain operating parameters (e.g., transmit power, carrier-frequency, and modulation strategy) in real-time, with two primary objectives in mind:

- ☐ highly reliable communications whenever and wherever needed;
- ☐ efficient utilization of the radio spectrum.

The regulatory bodies focus on the operation of transmitter like FCC defines the cognitive radio as: A radio that can change its transmitter parameters based on interaction with the environment in which it operates [1]. So among all definitions it is observed that following terminologies are common "Observation", "Adaptability" and "Intelligence".

One of the most important components of the cognitive radio concept is the ability to measure, sense, learn, and be aware of the parameters related to the radio channel characteristics, availability of spectrum and power, radio's operating environment, user requirements and applications, available networks (infrastructures) and nodes, local policies and other operating restrictions. In cognitive radio terminology, primary users are defined as the users who have higher priority or legacy rights on the usage of a specific part of the spectrum. On the other hand, secondary users, which have lower priority, exploit this spectrum in such a way that they do not cause interference to primary users. Therefore, secondary users need to have cognitive radio capabilities, such as sensing the spectrum reliably to check whether it is being used by a primary user and to change the radio parameters to exploit the unused part of the spectrum.

Cognitive Radio receiver follows cognitive cycle which consists of two major parts shown in fig. 1, that are spectrum sensing and assignment [3]. Spectrum sensing is closely connected to *spectrum analysis*, which determines the characteristics of the spectrum bands that are detected through sensing. After detecting and analyzing the spectrum holes, the *spectrum decision* (or *spectrum assignment*) function selects the best available band according to some criteria [4].

The paper is structured as follows: In section II, a detailed description of challenges, issues faced an different approaches for CR spectrum assignment are given. proposed strategy that is GA for Spectrum assignment is also described. In section III, a CR decision-making process results are analyzed by matlab simulation. Finally, a conclusion is discussed.

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## II. SPECTRUM ASSIGNMENT

Spectrum assignment (SA) is a key mechanism that limits the interference between CR devices and licensed users, enabling a more efficient usage of the wireless spectrum. Spectrum assignment is a basic function of CRNs because it affects the normal operation of the network and is closely related to spectrum sensing, which provides information on the available spectrum. SA is responsible for assigning the most appropriate frequency bands at the interfaces of a cognitive radio device according to some criteria shown in Fig.1 that are maximize throughput, fairness, spectral efficiency but at the same time, avoiding interference to primary users operating in the same geographical area.

Spectrum holes that are discovered by spectrum sensing are used as input to spectrum assignment, in order to find the optimum spectrum fragment that the SU should use according to its requirements [4], [5].

Cognitive spectrum assignment has some challenges that differentiate it from the conventional CA as shown in Fig. 2 in wireless networks. In traditional primary wireless networks, the spectrum is split among channels that have fixed central frequency and fixed bandwidth. Thus, traditional CA is the process of assigning a channel (namely the central frequency for use) to each user. In CRNs there is no standard definition for “channels”. SUs can dynamically change the central frequency and the bandwidth for each transmission. As a result, the SA function for each SU should determine not only the central frequency, but also the spectrum bandwidth to be used by that SU (according its requirements), unless there is central node that selects frequencies/bandwidths for all SUs (in centralized SA). Moreover, the available frequencies and spectrum holes dynamically change with time and location. These additional challenges increase the complexity of the SA problem in CR networks [6].

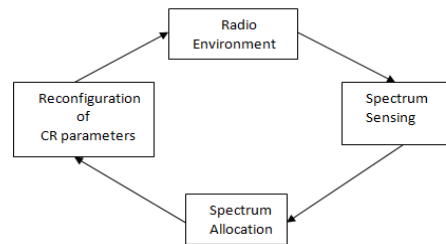


Fig.1 : Cognitive Radio Cycle

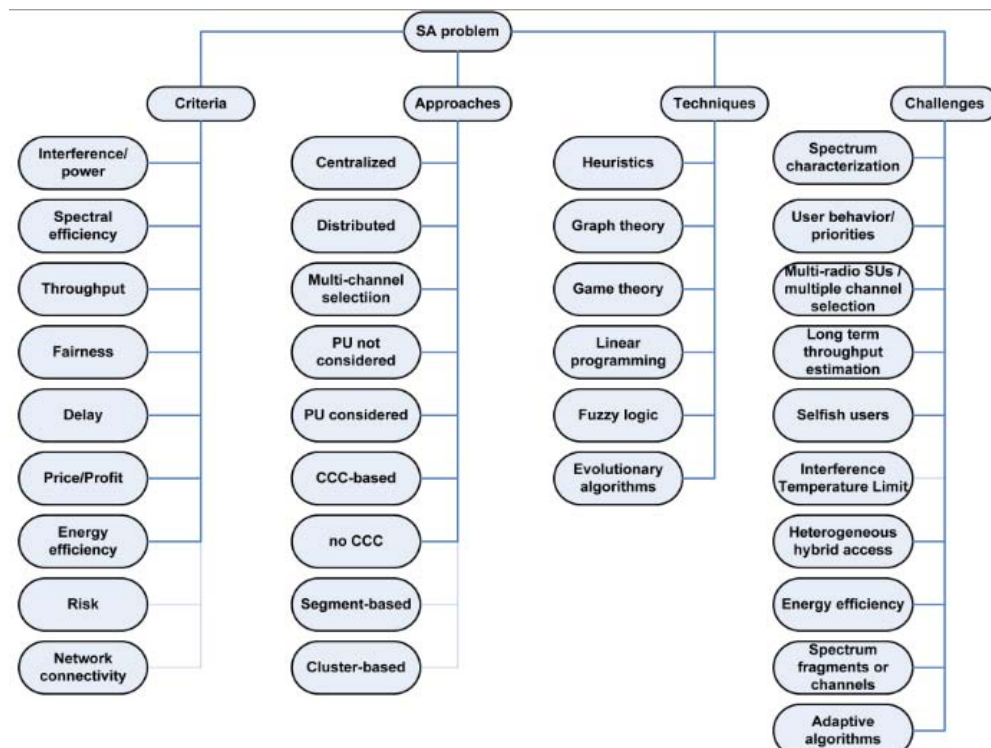


Fig.2 : Spectrum Assignment Problems

### a) Introduction to Genetic Algorithm

Evolutionary algorithms are stochastic search methods that mimic natural evolution and the social behavior of species, a category of which are the Genetic Algorithms (GAs). Genetic algorithms are random search techniques used for finding optimal solutions to problems such as cognitive SA [4]. They are based on the principles of evolution and genetics and they are different from other optimization techniques because they are based on nature's notion of "survival of the fittest". This means that the "fitter" individual has higher probability to survive. To solve optimization problems, GA uses fitness functions and requires the parameters to be coded as chromosomes or finite-length strings over a finite alphabet, which are collected in groups called "populations". The populations are then divided into sets of feasible and infeasible solutions with the first being the channel assignments that satisfy the interference constraints or, in general, the requirements of the spectrum assignment [7], [8].

The procedure used in cognitive spectrum assignment based on genetic algorithms requires the definition of several parts, namely "population", "fitness function", "selection", "crossover", and "mutation". Chromosomes usually specify a possible conflict free channel assignment matrix, which is encoded in such a way to avoid redundancy of the elements. To evaluate the fitness of the chromosome, it should be mapped to the channel assignment matrix. For the initial population, the value of every bit in the chromosome is randomly generated and at each iteration, a new population is generated after applying selection, crossover and mutation functions. The evaluation of each chromosome is the objective of the optimizations, and several objective functions are used, such as maximizing throughput, fairness, etc [9].

The advantage of using GAs to solve the optimization problem of spectrum assignment in CR is that they can handle arbitrary kinds of constraints and objectives. Inefficient solutions are simply discarded by the algorithm. One major disadvantage associated with GA is that the process for finding the optimal solution is quite slow and there is always the risk of finding a local minima and not the globally optimal solution [7], [8].

### b) Spectrum Assignment using genetic Algorithm

The computation of the GA starts from the assortment of the chromosomes which are randomly generated. Configurable radio parameters viz. transmit power, modulation, coding rate, symbol rate, packet size etc. represent genes of chromosomes. Size of population is taken according to number of cognitive users. Three genetic core operators which help for fittest solution are selection, crossover and mutation.

- *Crossover children* are created by combining the vectors of a pair of parents.

- *Mutation children* are created by introducing random changes, or mutations, to a single parent.
- *Stopping Criteria* depends on the number of maximum iterations and defined fitness value achieved [9].

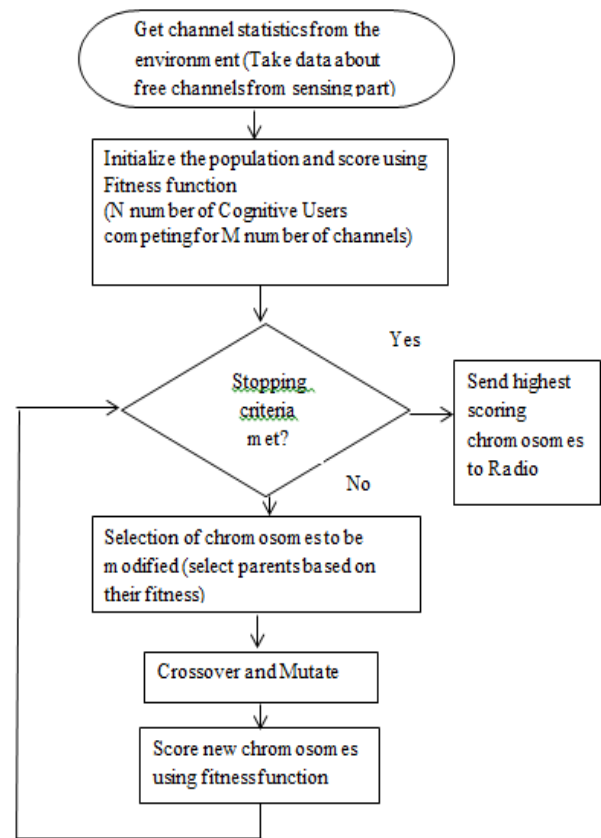


Fig.3 : Genetic Algorithm

Each primary user means a chromosome is identified by its parameters called as Genes. Four Genes are considered for implementation of this Genetic algorithm that are Frequency, Power, BER and Modulation [10].

Table.1 : Representation of chromosome structure

Order	1	2	3	4
Gene	Frequency	Power	BER	Modulation
Ranges	1-100	1-50	1-8	1-4
Bits required	7	6	4	2

Fitness is given by the following formula,

$$f_i = \left[ \frac{w_i \cdot |x_i - x_i^d|}{x_i^d} \right] \text{ if } |x_i - x_i^d| < x_i^d \quad \dots (1.1)$$

$$f_i = W_i \quad \text{otherwise}$$

The overall fitness value of chromosome F can be calculated as cumulative sum of individual fitness value of all the genes that is



$$F = \sum_{i=1}^4 f_i \quad \dots \dots (1.2)$$

In [10] Fitness value in percentage can be given as,

$$\text{Total fitness (\%)} = 100 \left[ 1 - \sum_{i=1}^4 f_i \right] \quad \dots (1.3)$$

### III. SIMULATION RESULTS AND DISCUSSION

Simulation parameters used are as follows:

Population	20
Generations	100
Crossover	80%
Best Fitness	9.0896

Fitness function shown above in equation (1.1) is optimized using GA tool.

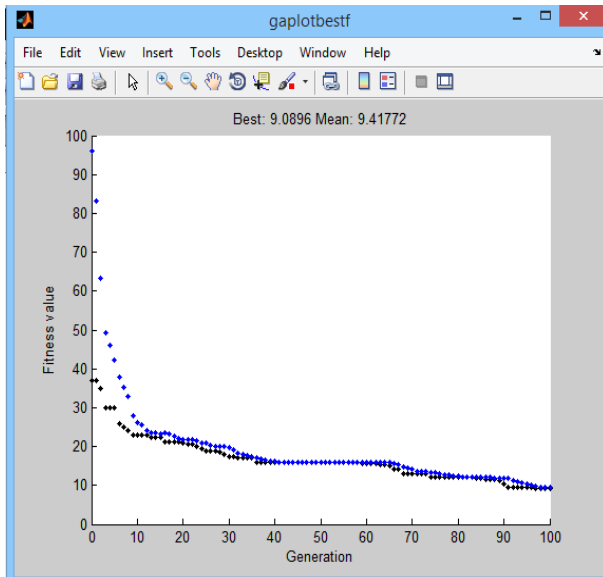


Fig. 4 : Fitness value for number of generations

The graph shown in fig 4 shows mean and best fitness value for number of generations GA is set. Here, for 100 number of generations fitness value is evaluated. As number of generations increases, mean and best value of fitness comes closer. Best value and mean value of fitness achieved by this GA is 9.0896 and 9.41772. Higher value of fitness shows more number of candidate channels are available to get occupied by cognitive users.

From parent population, child population is generated. Initially population of 20 is taken and then by crossover of 0.8 and mutation operation child population is generated. As shown in Fig. 5, Each parent population is operated by optimization tool and randomly few are mostly selected to create their child population further. Thus Crossover and mutation operators increases the population and enhances the performance of GA

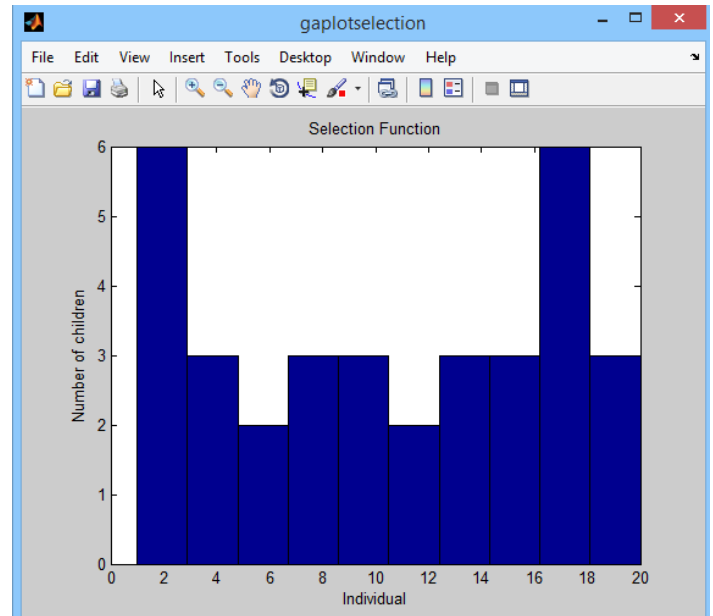


Fig. 5 : Fitness value for number of Children population generated Versus Individual

In this plot shown in Fig.6, Frequency is having high priority to decide selection of channel to avoid interference, power is having second priority and then BER and modulation are almost with equal priorities. As mentioned in table 1, Frequency, power, BER and

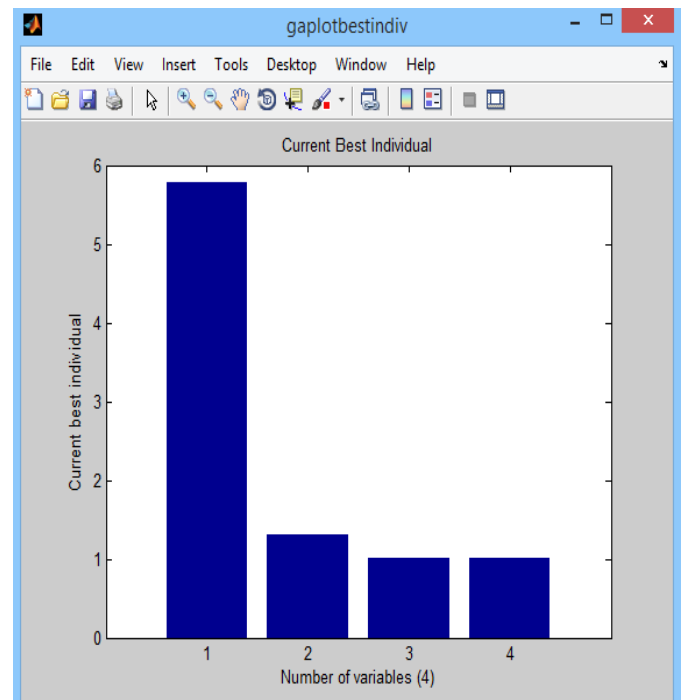


Fig. 6 : Current Best individual versus Number of variables

Modulation are the parameters on the basis of which channel suitability for assignment is checked.

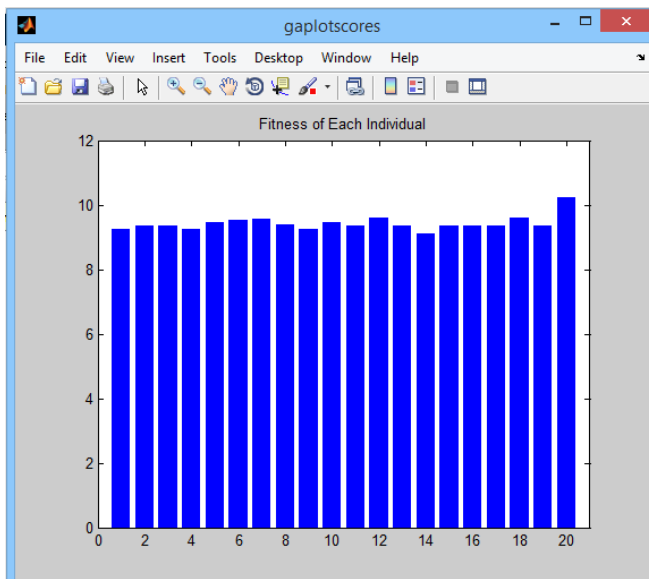


Fig. 7 : Fitness of each Individual

Fig. 7 shows fitness of each individual obtained in all iterations of GA.

#### IV. CONCLUSION

This work shows that the fitness function of the individual parameters or genes increases with increase in number of generations, but this performance is always not linear. This performance of the G.A. is due to the existence of other genes in the chromosome structure that affect the decision-making process, to reach an optimal solution. This is for the reason that the optimal solution reached by the Genetic Algorithms may have to cooperation for an individual gene to have a better solution for another gene in the structure at the same moment and therefore obtain a better overall fitness value of the chromosomes. GA actually go for the nearby possible values for each gene along with the available pool of solutions. Also, the range for decision-making connected with each gene affects the decision-making process. A gene with a lesser range i.e. modulation gene in this case have a higher fitness value, while with a bigger range i.e. frequency gene in this case will have a worse fitness value in the optimal solution found by the GA, over the number of generations. This mean that the individual fitness values for the genes may not increase in the same manner, however the total fitness value stay almost 9.41 all through the generations and find the nearby probable best values in the existing pool of solutions .

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GLOBAL JOURNAL OF COMPUTER SCIENCE AND TECHNOLOGY: E  
NETWORK, WEB & SECURITY

Volume 15 Issue 8 Version 1.0 Year 2015

Type: Double Blind Peer Reviewed International Research Journal

Publisher: Global Journals Inc. (USA)

Online ISSN: 0975-4172 & Print ISSN: 0975-4350

# Channel Sharing based Medium Access Control Protocol for Wireless Nano Sensing Network

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**Abstract-** Recent advancement and grown up technologies has enabled the development and implementation of low-cost, energy efficient and versatile sensor networks. Sensor networks are built up with sensors that have the ability to sense physical or environmental property. Assumption can be made that Wireless Sensing Network (WSN) is able to sense environmental conditions at Nano and gaseous level. This architecture of Wireless Sensor Network is maintained by a sub-layer named Medium Access Control Layer that provides addressing and channel access control mechanism among multiple nodes of the network and makes these nodes capable to communicate with other nodes through a shared medium. The hardware that implements the MAC is referred to as a medium access controller. This paper finds the problems in selection of cluster nodes and transmitting data and also proposes an improved MAC protocol to minimize the problem.

**Keywords:** WSN, MAC protocol, terahertz communication, electromagnetic communication.

**GJCST-E Classification :** C.2.1 C.2.5



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# Channel Sharing based Medium Access Control Protocol for Wireless Nano Sensing Network

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**Abstract-** Recent advancement and grown up technologies has enabled the development and implementation of low-cost, energy efficient and versatile sensor networks. Sensor networks are built up with sensors that have the ability to sense physical or environmental property. Assumption can be made that Wireless Sensing Network (WSN) is able to sense environmental conditions at Nano and gaseous level. This architecture of Wireless Sensor Network is maintained by a sub-layer named Medium Access Control Layer that provides addressing and channel access control mechanism among multiple nodes of the network and makes these nodes capable to communicate with other nodes through a shared medium. The hardware that implements the MAC is referred to as a medium access controller. This paper finds the problems in selection of cluster nodes and transmitting data and also proposes an improved MAC protocol to minimize the problem.

**Keywords:** WSN, MAC protocol, terahertz communication, electromagnetic communication.

## I. INTRODUCTION

Wireless sensor network has increasingly become a research hotspot as the technology of wireless networks become gradually matured and supported by small, micro-mobile devices. WSN consists of a several number of sensor nodes ranging from few tens to thousands and base station or sink node. Each node is capable of storing, processing and relaying the data that are sensed. When Physical Layer is used for Signal transmission and reception by nodes within the network then there must be a point to point capability among these multiple networks nodes. However, this is insufficient for several reasons. In spite of using advance channel coding algorithm error can occur in bits or packets. The factors for this type of error are variations of link quality, interference etc. And it is particularly true for wireless nano sensor network. For this reason an additional control mechanism is needed

above the physical layer. This additional layer is Medium Access Control Layer or MAC Layer.

## II. MEDIUM ACCESS CONTROL PROTOCOL

Protocol means few rules and regulation. Network Protocol means some rules and convention for successful and efficient communication among network nodes. MAC protocol indicates some rules and convention for accessing the same channel by multiple nodes at the same time without collision for better performance and throughput. So the key task of a MAC protocol is to coordinate the process of sharing the same medium among multiple users with the objective of achieving certain performance goals.

### a) Classification

#### 1. Centralized MAC Protocol

In this type of Protocol the entire process is control and coordinates by a central network node. Remaining nodes are depending on this central node for accessing the channel. For example cellular network, satellite network etc.

#### 2. Distributed MAC Protocol

This protocol not depended on central node for assigning channel to multiple network nodes. Instead they distributed these control mechanism among all nodes of the network.

## III. MAC ISSUES FOR WSN NETWORK

Nano network is densely populated network. These nano nodes have many construction limitation which are must be considered for designing MAC protocol. These limitations are as follows:

1. Nano devices are severely energy constraint machine because of their nano size. It is also difficult to provide energy harvesting technique to these nano devices for large energy support.
2. Due to the nano-scope dimensions of nano-devices and future nano-transistor, the expected number of transistors per nano processor might round up to the thousands [1]. Since nano network is densely populated network so large number of nano devices must be considered for designing an efficient and effective MAC protocol.
3. It is needed to build up an energy-efficient complexity-aware Medium Access Control protocol

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that supports the peculiarities of nano devices in the wireless nano sensor network.

#### IV. DESIGN ISSUES FOR MAC PROTOCOL

It is known graphene is one-atom-thick planar sheet of carbon atoms that are densely packed in honeycomb crystal lattice. Graphene-based nano-antennas have already been proposed and they will make possible to overcome the scalability problem when trying to miniaturize classical antennas to the Nano scale [2]. This material exhibits many peculiar characteristics that must be considered if we use these materials to construct nano nodes for nano network. Graphene based nano devices communicate through Terahertz band [3]. Now try to clear the reasons of using Terahertz band for communication among nano nodes. Graphene has shown promising electrical, mechanical and thermal properties over transistors, flexible/transparent electronics, optical devices and now terahertz active components.

##### a) Terahertz Communication

Nano networks are composed of huge number of nano nodes which have limited energy to communicate. So they are communicated in such a way that they can transmit a large number of bits at a time. Terahertz band provide this facility. It theoretically supports very large bit-rates, up to several hundreds of terabits per second for distances below one meter.

- Nano nodes require a simple communication scheme for their limited energy. Since terahertz band have very large bandwidth that helps to design a very simple communication scheme for nano nodes.
- Terahertz waves can carry more information than radio/microwaves for communications devices.
- They also provide medical and biological images with higher resolution than microwaves, while offering much smaller potential harm of exposure than X-rays. Because of these reasons, terahertz band is used as communication medium for nano nodes in the nanonetwork.

##### b) Pulse Based Communication

- Carrier Based Communication requires high power carrier signal for communication. Nano nodes or nano devices are not capable to generate high power carrier signal due to their energy limitation. So Classical carrier Based Communication is not suitable for nano network.
- Nano nodes require more fast communication mechanism to transmit information. Several Pulse Based communications have been proposed for high speed communication system such as Impulse Radio Ultra-Wide-Band (IR-UWB). These pulse-based systems are much more energy-

efficient and require less complex transceiver than classical carrier based schemes.

- Nano nodes can efficiently generate and radiate very short pulse in the nanoscale. So pulse communication scheme is more suitable than carrier based communication.

#### V. MAC PROTOCOL FOR ELECTROMAGNETIC COMMUNICATION

This protocol is divided into three stages namely Selection of Master Node, Handshaking process, and Data transmission Process. [4]

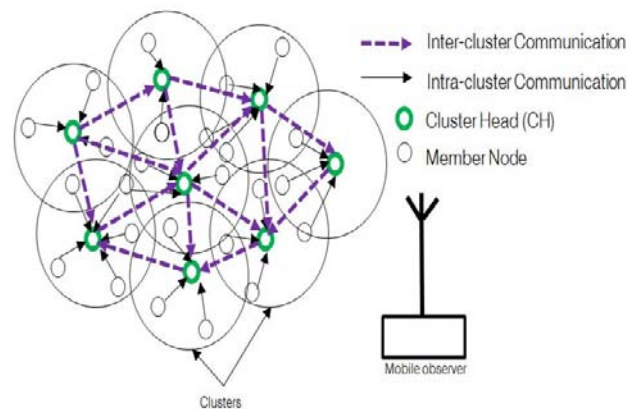


Fig.1: Clustered Nano Devices

##### a) Selection of Master Node

Initially, assumption is made that the nano nodes are combined to form a network. A node which is equidistant from the rest of the nodes is selected as master node among remaining nodes. Ambiguity is removed by the metric helps that were in the conventional mechanisms and lessen the possible collision.

The selection of the node M must be announced to the other nodes so as to ignite the communication. The nodes are now equally distributed and each tries to send its packets to M. It is the responsibility of the node M to allocate the channel to the requested device for a certain period of time, depend on the devices priorities or the urgent transmission of the data. However, this method works if the number of nodes in the scenario falls within 50.

If the number exceeds 50, then an alternative method is applied where the nano devices are divided into clusters. Nodes are partitioned into a several number of small groups called clusters for supporting data aggregation through network. A coordinator is assigned for each cluster which is defined as cluster head (CH), and member nodes. Clustering provides a two-tier hierarchy in which CHs form the higher tier while member nodes form the lower tier [5]. Figure 2 illustrates data flow in a clustered network.

The member nodes inform their data to their corresponding CHs. These data are aggregated by CHs and report them to the central base through other CHs. Because CHs often transmit data over longer distances, they waste more energy compared to member nodes of the network. In order to select energy-plentiful nodes to serve as cluster heads (CHs), the network may be re-clustered periodically and distribute the load uniformly on all the nodes. Clustering decreases channel contention besides achieving efficiency of energy. Hence, to subdue disconnected regions and distribute energy consumption across all nodes of the network periodic clustering is so important. Periodic re-clustering is also important for creating dynamic clustering of nodes and for better throughput of the network under greater load. There are two following types communication mechanism:

- Intra Cluster Communication and
- Inter Cluster Communication

An important design challenge is scheduling intra-cluster and inter-cluster transmissions. Time division multiple access (TDMA) is best for intra-cluster transmissions, Since clustering is typically employed in applications where data aggregation is performed. This is because a CH can set the TDMA schedule and inform its cluster members about it. The problem is how to prevent the TDMA intra-cluster transmissions from colliding with transmissions in neighboring clusters or with inter-cluster frames, especially when CHs communicate with each other using longer ranges.

After the selection of the master node, data is transmitted by broadcasting TDMA frame to all the nodes in the network. Here raises another scenario where few other nodes are to be added in to the network. If this happens the selection of master node is to be rescheduled by iterating the above procedure. Since the master node is repeatedly subjected to change whenever new nodes are added to the network, energy dissipation is reduced. The number of nodes to be added is also a considerable constraint to achieve scalability. If the number of additional nodes is less than 10 then the above mentioned technique can be applied if the number exceeds 10 then again we have to roll back to the Clustering mechanism which is described in detail in the above sections.

#### b) Handshaking Process

The handshaking process is divided in two sub stages, the handshaking request and the handshaking acknowledgment.

The handshaking request is triggered by any nano-device that has information to be transmitted and which has enough energy to complete the process. A transmitter generates a Transmission Request (TR) packet, which contains the Synchronization Trailer, the Transmitter ID, the Receiver ID, the Packet ID, the

transmitting Data Symbol Rate (DSR) and the Error Detecting Code (EDC). The DSR field specifies the symbol rate that will be used to transmit the data packet. The strength of RD TS-OOK against collisions increases when different users transmit at different rates. In the PHLAME protocol, every transmitting node randomly selects a symbol rate from a set of co-prime rates, which minimizes the probability of having catastrophic collisions. The EDC field is used to detect transmission errors as a conventional checksum field. The TR packet is transmitted using a Common Coding Scheme (CCS), which specifies a predefined symbol rate and channel coding mechanism. By using the same symbol rate, catastrophic collisions might occur. However, the TR packets are very short and the EDC field should suffice to detect simple errors in the majority of cases. Finally, the transmitter waits for a timeout before trying to retransmit the TR packet when no answer is received.

The handshaking acknowledgment is triggered by the receiver of the TR packet, which uses the CCS to decode the received bit streams when listening to the channel. If a TR packet is successfully decoded, the receiver will check whether it can handle an additional incoming bit stream. In our scenario, we consider that due to the energy limitations of nano-devices after the transmission or active reception of a packet, a device needs to wait for a certain recovery time in order to restore its energy by means of energy harvesting systems. This time is much longer than the packet transmission delay and poses a major limitation to the network. If the handshake is accepted, a Transmission Confirmation (TC) packet is sent to the transmitter using the CCS. The TC packet contains the Synchronization Trailer, the Transmitter ID, the Receiver ID, the Packet ID, the transmitting Data Coding Scheme (DCS) and the Error Detecting Code. The DCS is selected by the receiver in order to guarantee a target Packet Error Rate (PER), which depends on the perceived channel quality and can be estimated from the pulse intensity or the perceived noise. In particular, the DCS determines two parameter values. First, it specifies the channel code weight  $t$ , i.e., the average number of logical "1"s in the encoded data. By reducing the code weight, interference can be mitigated without affecting the achievable information rate. Second, the DCS specifies the order of the repetition code that will be used to protect the information.

#### c) Data Transmission Process

At this point, the data is transmitted at the symbol rate specified by the transmitter in the DSR field, and encoded with the weight and repetition code specified by the receiver in the DCS field. The DP contains a Synchronization Trailer, the Transmitter ID, the Receiver ID, and the useful Data. The Error Detecting Code has been removed from the packet since by using different symbol rates, catastrophic

collisions are highly unlikely, and randomly positioned error scan be fixed by means of the chosen channel coding scheme. If the DP is not detected at the receiver before a time-out, TDP out, the receiver assumes that the handshaking process failed.

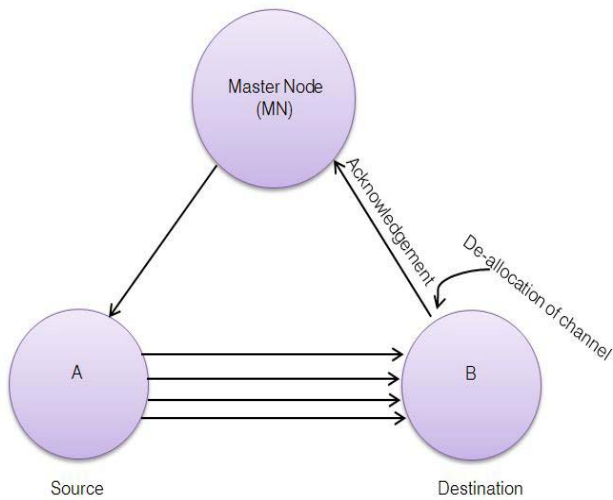


Fig. 2 : Transmission of Packets

This stage is concerned with the communication of other devices with the node M. Any node that requires the channel sends a control packet with fields containing destination address, source address, priority bit, to the node m. Priority bit number is a single bit field that represents the priority of the device. If the bit is set to 0 then the device can be scheduled sequentially. If the bit is 1, then the device has an emergency channel requirement. Scheduling of these devices is done through queuing at the master node by using Round Robin algorithm (that assumes all the devices of equal priority). After queuing, M starts allocating the channel on the FCFS basis. In some cases, if a node is to be allocated with the channel immediately then it sets its priority bit to 1 and hence the requested channel is allocated. If MN wishes to grant the channel to the node A, it first sends a REQUEST signal to the receiver B. If the receiver is free, then it sends an acknowledgement (ACK) signal to MN allowing the communication. MN in turn sends the same ACK signal to the requested device A. Hence the sender is allocated with the channel and the transmission of the packet takes place. After receiving all the packets B sends the acknowledgement to the master node indicating that the transfer is successful. In order to avoid collisions between the data packets and ACKs, the sender mentions the packet count in the first packet and receives an ACK from MN after all the packets arrive at the receiver. If the ACK is not received at the sender node within a stipulated time, indicating an error in transmission then all the packets are resent. Since the channel is allocated for transmission between two

devices there will be no collisions from other nodes and hence reliability is achieved.

Energy Efficiency can be taken as a measure of the extent to which collisions are reduced. Since there are no collisions, the energy required to retransmit the packets can be eliminated totally. The other area where energy is conserved is selecting an equidistant method for the selection of master node all the nodes will require equal amount of energy to transmit the control packet. Whenever the nodes are added in to the network the master node is changed where energy dissipation is reduced. Considering all these factors energy will be saved or consumption of energy is reduced to a great extent.

#### d) Packet Structures

Two types of Packet structures are involved here: Control Packet, Data Packet

##### • Control Packet

Control Packet is a dummy packet, free of the data that helps in allocation of the channel to the requested device. The fields included in its structure are Destination Address, Source Address, Priority bit and Synchronization trail.

PREAMBLE BITS	CPB	SOURCE ADDRESS	DESTINATION ADDRESS	PB
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Fig. 3 : Control Packet

The preamble bits present in the packet are used for the synchronization of the Nano machines to enable communication. The conflict arises as to which address has to be mentioned in the DST ADDRESS field. Is it the master node address or the destination node address?

To resolve this, inclusion of another field known as the CPB is the Control packet bit which distinguishes the control packet from the data packet. If the packet is a control packet then the bit CPB is set to 1, otherwise the packet is taken to be a data packet. Now the packet whose bit is set to 1 will be sent to the master node thereby requesting the channel.

##### • Data Packet

Data packet structure represents the original data to be transferred with the following form the structure consists of a trail part and the data part. The data to be transferred is encoded in the data field and then transmitted.

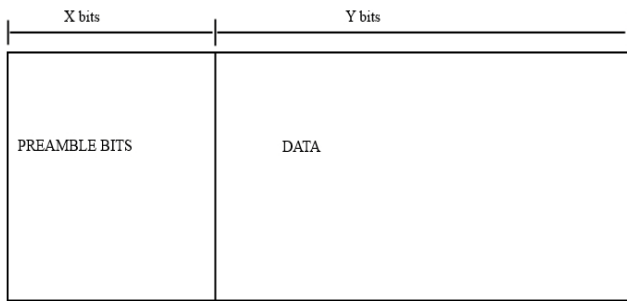


Fig . 4: Data Packet

## VI. LIMITATIONS

From previous discussion, it is learned that catastrophic collision is reduced by transmitting data from different transmitter using different transmission rate or symbol rate. This is the main limitation of this protocol. It is also known that symbol rate is selected randomly by nano devices and it is a co-prime number. So if the transmitted symbol rate is small enough then the data packet with this symbol rate journey the transmission medium long time. With high symbol rate data are transmitted more quickly through transmission medium.

Data packet with long time in transmission medium has high probability of affecting with noise and attenuation. This is a major problem of PHLAME. So a solution is been proposed for this problem that try to design a new MAC protocol for wireless Nano sensor network.

## VII. PROPOSED ALGORITHM

A brief description of the above mentioned procedure is given below in the form of an algorithm:

A Nano network is formed with 'n' no. of nano nodes in it. Election of the master node is done based on the value of n. If  $n < 50$  then we use EQUIDISTANT metric method. If  $n > 50$  then clustering is applied. Protocols for inter-cluster and intra-cluster communications like HEED are employed for the selection of master node.

Now the nano device requests the master node to allocate the channel. The nano device will send a control packet to the master node. The master node will know that it is a control packet by setting the CPB to 1. Queuing is done at the master node which consists of all the nano-devices that request the allocation of channel. The queue scheduling followed here is Round Robin Scheduling. If a nano device needs the channel prior to all then the PB bit will be set to 1. After the queue of the devices, the first node in the queue will be allocated with the channel.

Now the channel is used only by the two devices that have been mentioned in the control packet. After the data transmission is completed the master

node takes back the control of the channel and reallocates it to the next device on the queue.

## VIII. PROPOSED SOLUTION

Due to different symbol channel information may be added with more noise and attenuation. So this problem is solved by using same higher symbol rate or transmission rate. But if more than one device wants to transmit at the same time then the information from different source may overlap in transmission medium. So if the use of same symbol rate is required, then use of different channel for transmission is a must, i.e., divide the main channel into multiple channel.

In this case FHSS (Frequency Hopping Spread Spectrum) or FDM (Frequency Division Multiplexing) can be used for dividing the allocated frequency into multiple channels.

In FHSS, signals are not transmitted in one frequency channel. Same signal transmitted with different frequency channel. so if FHSS is used in nano network then signal with same symbol rate can be passed through transmission medium without high noise, attenuation and overlapping as signal transmitted in this scheme through different frequency channel with same higher symbol rate or transmission rate.

In FDM, it is possible dividing the channel into multiple channels which are used by different nano device for data transmission. Since nano network use terahertz band for operation so it can easily divide the main frequency channel into multiple channel that is enough for source station to transmit data to destination.

When one node wants to transmit data to destination node it selects one channel to transmit. In that time if there is any other node to transmit information, then it senses the channels to find any free channel. The channel which is busy to transmitting data of first node is not used to transmit data of current node. Busy channel distinguishes the node for accessing it for data transmission.

If all channels are busy to transmit data from source to destination then there is a problem if any node wants to transmit in that time. There is no free channel for transmitting data. So In this case we can use channel sharing procedure. In Channel sharing scheme one channel can be used by many sources at the same time without data overlapping. Channel which starts transmitting information first is selected as sharing channel.

## IX. CONCLUSION

In order to maintain Medium Access Control protocol, several issues must be considered. Design issue is one of the major. Different communication process such as terahertz communication is also

considered. In this paper, the proposed solution is given based on some basic issues. The given access protocol is basically channel sharing based. So, in this scheme, one channel is allowed to be used by several sources at the same time whereas no data is overlapped. This can be an effective way to perfectly handle the MAC protocol issues.

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GLOBAL JOURNAL OF COMPUTER SCIENCE AND TECHNOLOGY: E  
NETWORK, WEB & SECURITY

Volume 15 Issue 8 Version 1.0 Year 2015

Type: Double Blind Peer Reviewed International Research Journal

Publisher: Global Journals Inc. (USA)

Online ISSN: 0975-4172 & Print ISSN: 0975-4350

# Mobile Object-Tracking Approach using a Combination of Fuzzy Logic and Neural Networks

By Jawdat Jamil Alshaer

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**Abstract-** Ability to locate a specific object in a dynamic environment has several practical applications including security surveillance, navigation and search and rescue operations. The objective of this paper is to develop an object-tracking algorithm using a combination of fuzzy logic and neural networks. The aim is to originate an algorithm that matches the history locations of an object and predicts its location when it goes offline. Determining the location of an object on specific trajectory becomes difficult if the mobile object stopped reporting its location and goes offline. Therefore, in this analytical article, a proposed approach relies on estimations from sensor data of historical movement patterns and geometric models, is fed into special Neural Network to get best accurate present or future object locations. Fuzzy logic application is used to overcome the challenge of imprecision in data. Although this approach is complex; but it can be one of the ways to be applied on large area applications with acceptable accuracy (80%) as shown by experiments.

**Keywords:** *neural networks, location prediction, fuzzy logic, tracking objects.*

*GJCST-E Classification : F.1.1 I.5.1*



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# Mobile Object-Tracking Approach using a Combination of Fuzzy Logic and Neural Networks

Jawdat Jamil Alshaer

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## 1. INTRODUCTION

Multivariate Prediction methods and algorithms usually predicts variable value depending on pattern of time series variables, for instance: In continuous time series, variable  $x$  will instantly reports its value at time  $t$  and denoted as  $x(t)$ . In other hand, In discrete time series,  $x$  will periodically reports its value in time interval  $t$ .

A variable is a value or a number that changes in increased or decreased pattern over time. There are two mainly categories of variables, independent variable and dependent variable. The independent variable and dependent variable are differing in an experiment. The independent variable is a variable that is varied or manipulated in the experiments by researchers; it refers to what is the influence during the experiment. The dependent variable is the variable that is simply measured by the researchers; it is the response that is measured. The dependent variable responses to the independent variable. It is called dependent because it depends on the independent variable. We cannot have

a dependent variable without an independent variable. From these types, within the context of this article; we are interested in how location of a mobile object coordinates affects moving rate. The independent variable would be the coordinates and the dependent variable would be the speed. We can directly monitor the first and measure how they affect the speed of a mobile object. It is possible to forecast various kinds of data, in general, time series shows the changing of a value in time. The value can be impacted by also other factors rather only time. Time series represents discrete historical values and from a continuous function it can be obtained using sampling[1].

Neural networks involves using historical data and applying the neural network algorithm to predict possible future data. In this light, historical positions recorded prior to the loss of the object will be fed into the network to determine potential location in the present/future. Specifically, the backward propagation neural network model that uses historical data and applies artificial intelligence to predict likely future location of objects.

Neural network technique is particularly suitable in location prediction due to its reliance on minimal historical data to draw valuable inference. The model does not require additional data, making it less cumbersome than geometric and other models. It applies the historical data collected in a specified period and applies artificial intelligence to predict future coordinates of object location. However, as Kapitanova et al in [2] explains, applying backward propagation techniques requires heavy computation requirements and is inferior to artificial neural network models due to its low learning coefficient. In addition, the backward propagation model needs to be modified for every application.

There exist different artificial intelligence and mathematical approaches, approaches, which have been researching movement prediction of Mobile Objects( MO). Among these Markov chains, Bayesian networks, and neural networks. This paper presents and ANN-based approach. Some of the existing ANN-based approaches will be adopted and applied.

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## II. RELATED WORK

To predict or forecast a future situation; learning techniques Neural Networks are obvious solution. The challenge is to construct a model using the intelligent hidden relations and transfer these techniques to work with the desired problem information.

Mozer [3] focused on Home Environments Controls by studying the environment and the actions taken by people to attempt to predict their next actions, by learning the anticipation needs. Mozer [4] uses as a predictor a feed-forward neural network with one hidden layer for anticipating the next action. In [5], the authors have proposed user pattern learning approach neural networks to reduce location update signaling cost by increasing the intelligence of the location procedure. This approach associates to each user a list of cells where mobile is likely to be with a given probability in each time interval. The list is ranked between the most likely and the least likely place where a user may be found. When a call arrives for a mobile, it is paged sequentially in each location within the list. When a user moves between location areas in the list, no location updates are needed. However, this will demand the storing of all possible locations of an object, which leads to huge storage mass of data in case of many objects not to mention the processing time of scanning these locations frequently. In [6], Pakyan et al, formulated a predictive trajectory model based on piecewise segments with stochastic transition and observation noises. Empirically they found that the second-order Markov model outperforms the first order Markov model. Over the range of look-ahead length from one to ten seconds, Methods were complicated and no NN was used. In [7] NN was implemented for people tracking between restricted rooms, they extracted from the presented previous results, acceptable prediction accuracy obtained using a simplified prediction process. Comparing the dynamic predictor with the static trained dynamic predictor, showing that the pre trained dynamic predictors are

more efficient than the dynamic predictors. The structure of their proposed NN is extended in this article to movements of object(s) moving on the segments of trajectories. Buizza et al. [8] transformed some prediction algorithms used in branch prediction techniques of current high-performance micro-processors to handle context prediction. He proposed various context prediction techniques based on previous behavior patterns, in order to anticipate a person's next movement. The evaluation was performed by simulating the predictors with behavior patterns of people walking through a building as workload. Their simulation results show that the context predictors perform well but exhibit differences in training and retraining speed and in their ability to learn complex patterns. Petzold et al. compared these predictors with the Prediction by Partial Matching (PPM) method, and they evaluated the predictors by movement sequences of real persons within an office building reaching up to 59% accuracy in next location prediction without pre-training and, respectively, up to 98% with pre-training.

## III. THE NEURAL PREDICTION APPROACH FOR LOCATION PREDICTION

In order to predict future mobile objects locations and trajectories, Different models can be used to capture all information about the movements of objects on linear edges of road networks, as an example is using Cellular Automation Model (CA) which was introduced in this context in [9]. Simply, The input data for the neural network can be three-dimensional coordinates on a Cartesian plane, velocity of movement and the segment of the trajectory of movement. The output of the neural networks is used to calculate the mean absolute error of the predicted value. The system should be tested using maximum observation data to determine the ideal observations to minimize mean absolute errors [10-12]. The following is an illustration of the basic working of Neural network model.

Location coordinated at Time T-1

Velocity at time T-1

Angular velocity at Time T-1

Network segment at Time T-1



We chose a multi-layer perceptron with one hidden layer (see Fig. 1) and back-propagation learning algorithm. The input pattern to serve as input layer will consist only of the location of the mobile object and specific edges (segments); the historical pattern of movement then is simply and easily can be used to

derive the velocity and direction of movement, simplifying the input layer will save computing cost, which is of particular interest for mobile (energy restrictions) or fast moving (real-time restrictions) applications. The first step of constructing the input and

the output of the NN is to divide the MO trajectory into segments[13]

#### IV. MODELLING TRAJECTORY AS SEGMENTS

A moving object trajectory is a series of straight trajectory segments which can be generated, with perturbation of noise[13], any trajectory segment, is an element in a set where the next segment is following the previous one constructing a network of moving segments. As shown in Table 1. This piecewise segment model will enhance the modelling of

coordinates to capture precisely the movement during the reporting position intervals. The trajectory is modelled by joining together multiple segments, where one segment is only dependent on the location and speed of previous segment. Segments related to the road network are only a fraction of the complete Trajectories, Trajectory can be Highway, Cycle way, Track type, Junction. These four Trajectories can have a lot of different values. However, only key-value pairs from Table 1 (only one tag specific for certain group is listed) are used for road networks[14].

Table 1 : Different segments of road networks

Key	Value	Element	Description	Map display	Photo
highway	motorway		A restricted access major divided highway, normally with 2 or more running lanes plus emergency hard shoulder. Equivalent to the Freeway, Autobahn, etc...		
cycleway	lane		A lane is a route that lies within the roadway.		
tracktype	grade1		Solid. Usually a paved or heavily compacted hardcore surface.		
junction	roundabout		Roundabout. This automatically implies oneway=yes, the oneway direction is defined by the sequential ordering of nodes within the Way.		

In order to predict future mobile objects trajectories, We modelled the movements of objects on linear edges using Cellular Automation Model (CA). The movement patterns (on edges) are represented by one dimensional possible locations (cells), which can be either empty or occupied by objects.

#### V. DERIVING THE DATA SET FOR THE NEURAL NETWORK

The movement pattern  $M$  is recorded periodically in time stamp  $T$  by: location  $p$ ; the direction (angle) and the velocity of the movement  $v$ . these parameters of movement were used to simulate mobile objects movements on selected road edges, and the resulted locations and segments were fed as training data to the NN by calculating future trajectories of MO on movement patterns on networks or random plain.

Precisely, if a mobile object moves continuously and periodically reports its location, then  $Mp1$  represents the distance in terms of the number of cells travelled on particular segment  $(d, r1)$  of the Mobile Movement (MM) during period of time unit  $T1$ ;  $Mp2$  is the distance is travelled on particular segment during period of time unit  $T2$ , then  $T3$  and so constructing periodically (every Time sized windows) pattern on specific trajectory. For example:  $Mp=\{Mp1, Mp2, \dots, Mpn\}$  and  $Mp1=(10,1)$  means the desired object was located at distance 10 on trajectory segment 1,  $Mp2=(70,1)$  means: the object location is 70 cells on segment 1 if the time interval is 30 seconds then the implicitly indication of average velocity of 2.0 unit/second.

Dividing the set of  $Mp$  movements onto subsets, the first subset will be used as input in the training mode while the rest subset will be the desired

output. Applying this procedure with the generated data sets in the analytical simulation represents the trained and output sets. The architecture of the NN is adopted from [7].

## VI. THE NEURAL NETWORK ARCHITECTURE

Multi-layer perceptron with multi-hidden layers using activation function and back-propagation learning algorithm was used to construct the neural network. This model has two inputs (location and segment). And has two output neurons [7], figure 1.

### a) The hidden layer

1. Create network and feed-forward with inputs,

$MP_i = MP, MP + 1, MP + 2$  hidden units and  $Mpo$  output units.

2. Initialize all network weights

$$W_{i,j}^1, \quad i = \overline{1, MP},$$

$$j = \overline{1 + MP_i} \text{ and } W_{i,j}^2, \quad i = \overline{1 + MP}, j = \overline{1, Mpo} \in \left[ -\frac{2}{MP}, \frac{2}{MP} \right]$$

3. while  $E(\bar{W}) - \frac{1}{2} \sum_{k \text{ in } Mpo} (t_k - o_k)^2 \leq T$  (threshold) do

- Input the instance  $\bar{X}$  to the network and compute the output  $\bar{O}$ .

$$\bar{O} = \bar{X} \cdot \bar{W}^1 \cdot \bar{W}^2$$

- For each network output unit  $k, k = \overline{n1, Mpo}$  calculate its error term  $\delta_k$

$$\delta_k = O_k(1 - O_k)(t_k - O_k)$$

- For each hidden unit  $h, h = \overline{1, MP_i}$  calculate its error term

$$\delta_h = O_h(1 - O_h) \sum_{k \in MPO} W_{k,h}^2 \cdot \delta_k$$

- Update each network weight  $W_{i,j}$

$$W_{i,j} = W_{i,j} + \Delta W_{i,j}$$

$$\Delta W_{i,j} = \alpha - \delta_i - X_{i,j} \text{ where } \alpha \text{ is the learning step}$$

The weights will be randomly initialized in the interval  $\left[ -\frac{2}{MP}, \frac{2}{MP} \right]$ , where  $MP$  is the number of neurons in the input layer. For better results we will codify the input data with -1 and 1 and we'll use the following activation function:

$$F(X) = \frac{2}{(1 + e^{-x})} - 1$$

We will vary the number of neurons in the hidden layer ( $MP$  cells). We will try first  $MP, MP + 1, MP + 2$  where  $MP$  is the number of neurons in the input layer as in, then by experiments we find the best configuration.

### b) The neural network's training

For the training/learning process we used the well-known Back-Propagation Algorithm from [15] showed in figure 1, and adapted as below :



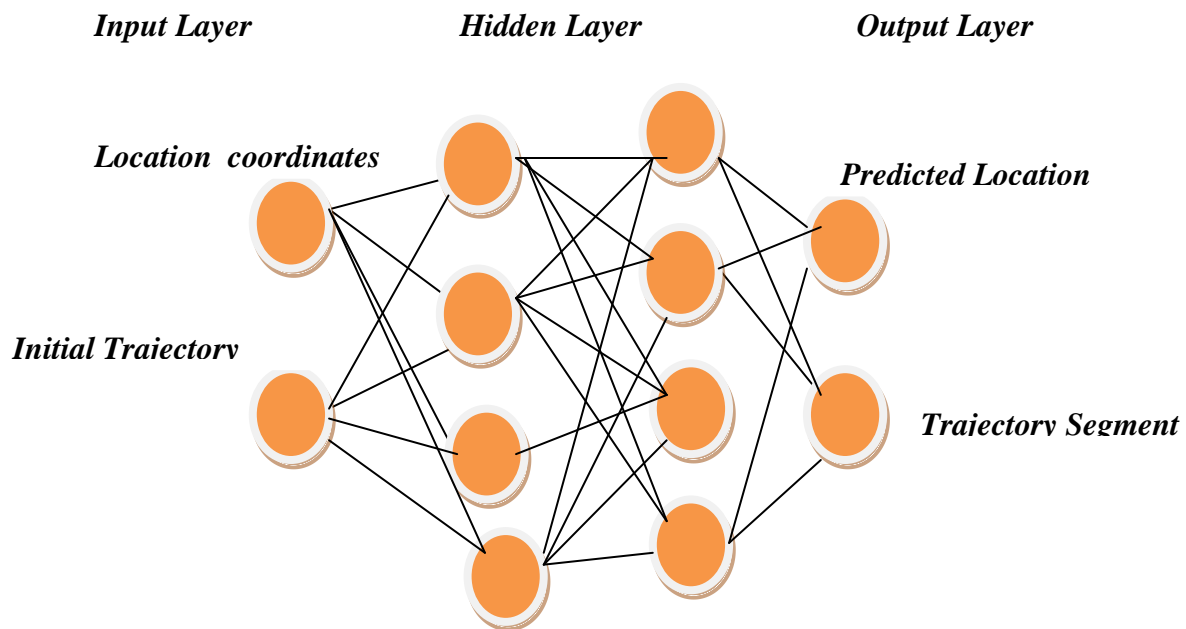


Figure 1 : The neural network's structure

## VII. THE SIMULATOR AND THE EXPERIMENTAL RESULTS

To evaluate the proposed approach, ANN methodologies (Multi Layer Perceptron) are adopted. Simulation was developed on MATLAB simulation environment. The proposed techniques was simulated on Pentium Core Due Processor 3 GHz CPU, 2 GB based RAM and 300 GB storage capacity based Personal Computer. Using the mathematical relationship, the model (MLP) was applied to predict the

location management of the cellular network. we generated test sets of 70 randomly sampled locations and 20 trajectories segments, as was done in [6]. For each pattern in the test sets, 70 predictions were generated using the proposed NN model. The Experiment results is shown in figure 2. Cumulative distribution function plots of NN prediction patterns compared to the ground simulated trajectories. Repeating the experience with dynamic training, shows that the NN make predictions closer to the analytical simulated locations.

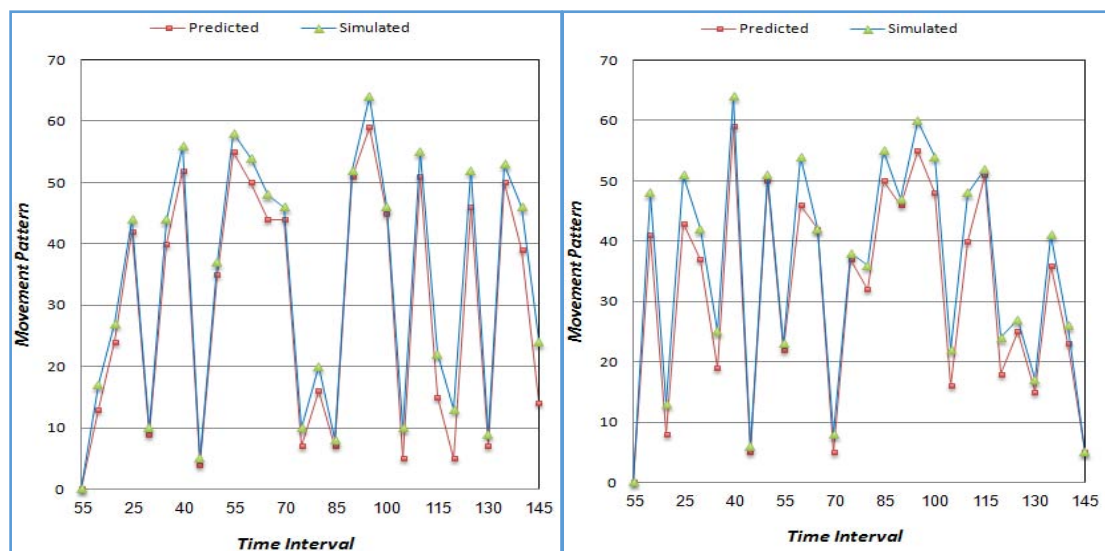


Figure 2 : Evaluation on randomly generated dataset

As to evaluation of accuracy the predictor is fed with a pattern sequence in every time stamp and predicts the next movement. The time intervals was

divided into predefined size. The accuracy measure shown in the chart is then calculated for each interval as follows[16]:

Accuracy = Number of correct prediction / Time interval size

Thus the accuracy is the number of correct predictions over the number of time steps, that is, over the total number predictions in that window. The number of time intervals is varied for comparison ease. Figure 4. shows charts of accuracy over time with dynamic training.

## VIII. USING THE FUZZY LOGIC

Neural network models are efficient when historical data is accurate and precise. However, in large-scale object location assignments, it is often impossible to collect precise coordinates along the object's trajectory. This calls for application of fuzzy logic to overcome the challenge of imprecision in data[17]. Fuzzy logic can tolerate input of unreliable and

imprecise data. It is also more intuitive compared to ordinary probability theory besides being easier to use. However, it requires more memory to store the rule-base especially when there are several variables[11].

The rule base consist of IF (condition), Then (consequence) statements. The objective of the detection algorithm is to reduce incidences of false object detection. Fuzzy logic can accommodate data from several sensors and can augment them with the rule-base to minimize such false detections over time. A simple object detection rule would be as follows.

IF *Time 1* (first input location, Segment) AND *Time 2* (second input location, segment), And *Velocity* is (first reading location differences), THEN Object is (widely defined location).

Fig. 4 shows charts of accuracy over time with dynamic training.

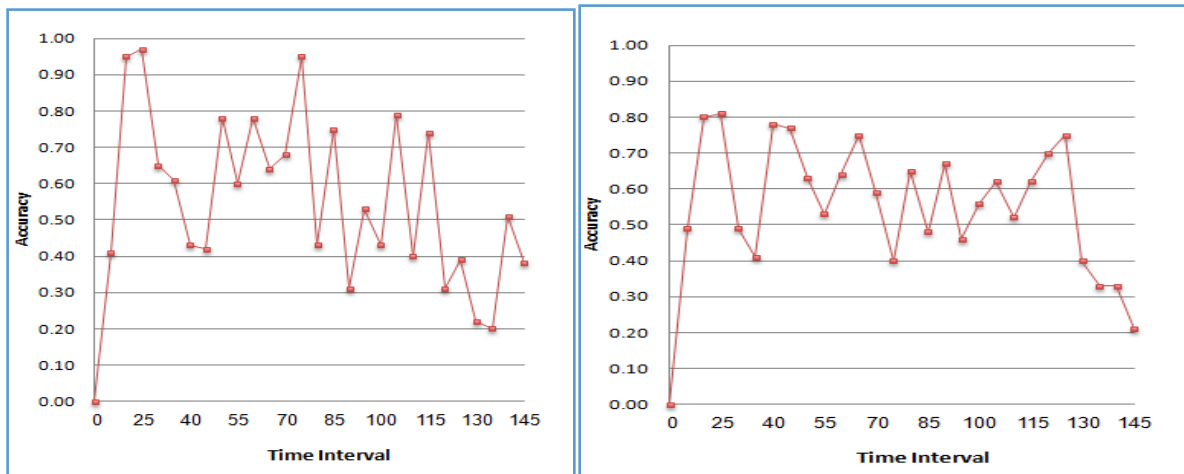


Figure 3 : The predictive Accuracy of the NN model is measured by the number of correct predictions over the number of time resulting in less accuracy for large window time intervals

## IX. CONCLUSION

Multi-layer perceptron with multi-hidden layers Neural Network for locating mobile objects was constructed, the movement patterns of the mobile objects were simplified and derived from the movement coordinates, direction, velocity and time. This approach relies on estimations from sensor data of historical movement patterns and geometric models, the resulted data is used to dynamic training of special Neural Network producing accurate predicted mobile objects locations up to 80%. Fuzzy logic application is used to overcome the challenge of imprecision in data.

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GLOBAL JOURNAL OF COMPUTER SCIENCE AND TECHNOLOGY: E  
NETWORK, WEB & SECURITY

Volume 15 Issue 8 Version 1.0 Year 2015

Type: Double Blind Peer Reviewed International Research Journal

Publisher: Global Journals Inc. (USA)

Online ISSN: 0975-4172 & Print ISSN: 0975-4350

# Performance Evaluation of Spatial Multiplexing MIMO-OFDM System using MMSE Detection under Frequency Selective Rayleigh Channel

By Namrata Maharaja, Dr. B. K. Mishra & Rajesh Bansode

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**Abstract-** MIMO-OFDM (Multiple Input Multiple Output-Orthogonal Frequency Division Multiplexing) is a very promising technology providing high throughput and range without additional bandwidth or transmit power by using many antennas at transmitter and receiver eliminating Inter-Symbol-Interference (ISI). The capacities of MIMO-OFDM systems can be fully utilized by low complex and optimal signal detection scheme. The receiver's detector is supposed to maximize the Signal to interference plus noise (SINR) by cancelling the spatial interference and should separate the transmitted signals. Minimum Mean Square Error (MMSE) detector is near optimal and less complex. The performance of the proposed system is analyzed using MMSE under flat and frequency selective Rayleigh channel environment, different number of antenna configurations and various modulation techniques to provide an optimum solution.

**Keywords:** MIMO-OFDM, spatial multiplexing, ZF, MMSE, rayleigh channel, flat and frequency selective.

**GJCST-E Classification :** C.2.5



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RESEARCH | DIVERSITY | ETHICS



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## I. INTRODUCTION

High data rate wireless communications, nearing 1Gb/s speed in 100MHz of bandwidth is trending in WLANs and home audio/visual networks. Research are directed at designing systems that are capable of handling high data rates while maintaining sufficient BER performance without increasing the bandwidth. MIMO combined with OFDM system is the best solution for this. MIMO systems use array of multiple antennas and take benefit of multipath effects of the propagation instead of combating it [1]. OFDM can transform frequency selective MIMO channels into a set of parallel frequency flat MIMO channels, thus decreases receiver complexity. Parallel increase in performance and spectral efficiency of MIMO systems is not achievable with all the available signal detection schemes as their associated computational complexity increases exponentially with the number of antennas. MMSE is a low complexity scheme giving sub-optimal performance [5]. Evaluation of such system under Rayleigh flat and frequency selective channel for various digital modulation techniques is performed to present an optimum solution and achieve high data rates.

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## II. MIMO SYSTEM MODEL

MIMO system consists of majorly three components, the transmitter, channel and receiver as shown in Fig.1. It uses multiple antennas at both the ends of the wireless links, all operating at same frequency at same time.

$$r = Hs + n \quad (1)$$

Where,  $r$  is received signal vector,  $H$  is  $N_r \times N_t$  channel matrix,  $s$  is transmitted vector and  $n$  is Gaussian noise vector. MIMO encoder uses Space time processing technique which has generally has two aims; one is to increase the data rate and next is to achieve maximum possible diversity. The space time processing techniques are: Space time coding and Spatial Multiplexing. The paper focuses on the use of Spatial Multiplexing MIMO which allows higher throughput, diversity gain and interference reduction. It also fulfils the requirement by offering high data rate through spatial multiplexing gain and improved link reliability due to antenna diversity gain [6].

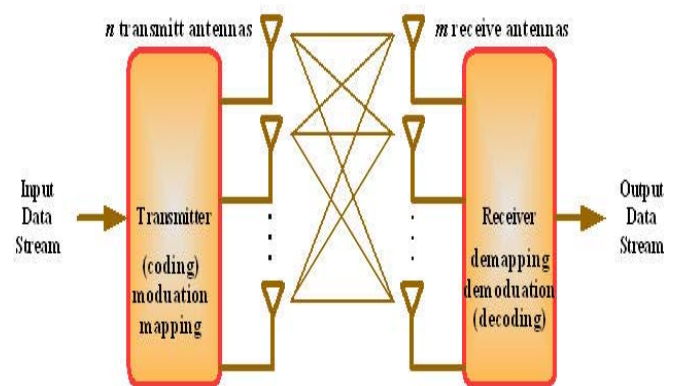


Fig. 1: MIMO system

### a) Spatial Multiplexing

Spatial multiplexing is a transmission method to send several different data bits in streams through an independent spatial channel from each of the multiple transmit antennas to achieve the greater throughput at higher SNR values [7]. If the transmitter is provided with  $N_t$  antennas and the receiver has  $N_r$  antennas, the maximum spatial multiplexing order (the number of streams) is,

$$N_s = \min(N_t, N_r) \quad (2)$$

Therefore, the space dimension is reused, or multiplexed, more than once.

### III. OFDM

OFDM is a special form of multicarrier modulation (MCM) with closely spaced subcarriers overlapping spectra as shown in Fig 2. MCM works on the principle of transmitting data by dividing the stream into several bit streams, each of which has a much lower bit rate, and by using these sub-streams to modulate several carriers [8].

The information data is mapped into symbols, distributed and sent over the N sub-channels, one symbol per channel. To have minimum interference, the carrier frequencies must be chosen carefully. Orthogonal FDM's spread spectrum technique distributes the data over a large number of carriers that are spaced apart at perfect frequencies. This spacing provides the "Orthogonality" which prevents demodulators from viewing frequencies other than their own. With the find of FFT/IFFT it became possible to generate OFDM using the digital domain for orthogonality of sub carriers. In OFDM, an N complex-valued data symbol modulates N orthogonal carriers using the IFFT forming. The transmitted OFDM signal

multiplexes N low-rate data streams, each experiencing an almost flat fading channel when transmitted.

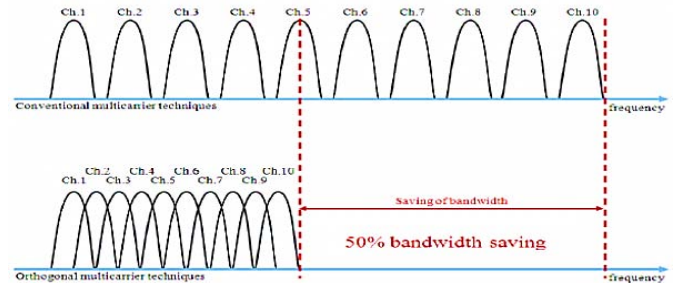


Fig. 2 : OFDM Subcarriers

### IV. MIMO-OFDM

A combination of MIMO and OFDM has been considered as a potential technology for high speed data wireless transmission networks such as WLAN, 3GPP, LTE & WiMAX. The Spatial Multiplexing(SM) can significantly increase channel capacity by simultaneously transmitting multiple independent streams with same data rates and power level [10]. Other side the OFDM technology can efficiently utilize the spectrum and eliminate the effect of multipath fading. All the blocks of OFDM like, FFT, IFFT and CP when applied to every single transmit and receive antennas (MIMO) makes it MIMO-OFDM.

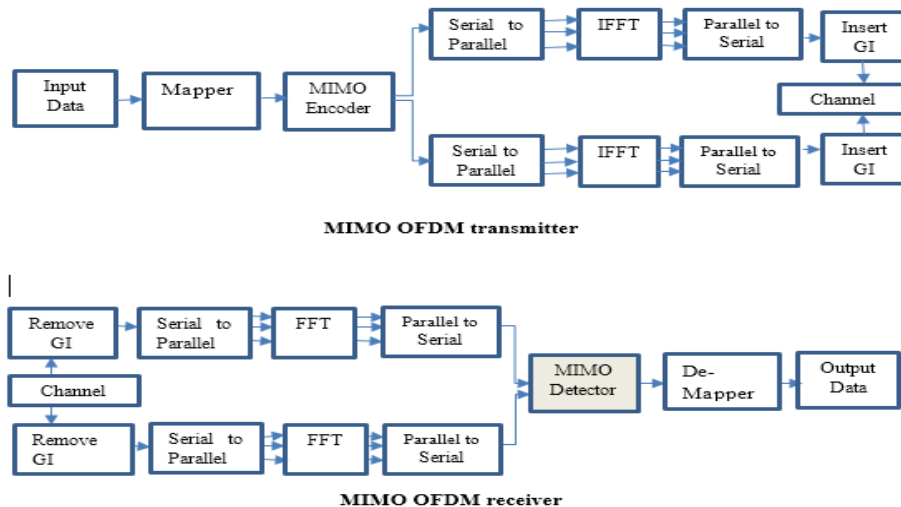


Fig. 3 : MIMO-OFDM system block diagram

The IEEE 802.11n WLAN standard is used to design the base system [11]. This standard includes MIMO- OFDM as a compulsory feature to enhance data rate. Initial target was to achieve data rates in excess of 100 Mb/s. However, current WLAN devices based on 802.11n Draft 2.0 are capable of achieving throughput up to 300 Mb/s utilizing two spatial streams in a 40 MHz channel in the 5 GHz band [12].

The proposed system shown in Fig 3 includes the available modulation schemes like QPSK, 16-QAM

and 64-QAM and is designed for basic 2×2 antenna configuration which is extended up to 8×8 Here, the MIMO techniques adopted includes Open-loop MIMO

(OL-MIMO) techniques which do not require channel state information (CSI) at the transmitter. MMSE detection has primarily been considered so as to minimize the complexity associated with MIMO detection while ensuring reasonably good performance.

## V. LINEAR DETECTION

### a) Zero forcing(ZF) detector

The ZF is a linear detection technique, which inverse the frequency response of received signal, the inverse is taken for the restoration of signal after the channel. The estimation of strongest transmitted signal is obtained by nulling out the weaker transmit signal. Considering 2x 2 MIMO channel,

$$y = Hx + n \quad (3)$$

Where, Y=Received Symbol Matrix., H=Channel matrix, X=Transmitted symbol Matrix, N=Noise Matrix. To solve for x, we need to find a matrix W which satisfies  $WH = I$ , The Zero Forcing (ZF) detector for meeting this constraint is given by,

$$W = (H^H)^{-1}H^H \quad (4)$$

Where, W=Equalization Matrix and H=Channel Matrix. This matrix is known as the Pseudo inverse for a general m x n matrix. [13]-[14]. Theoretically ZF sounds efficient but in practical situations, it is very susceptible to noise as the inverse of the received noise is also applied to the signal since the channel response includes noise as depicted.

### b) Minimum Mean Square Error(MMSE) detector

MMSE equalizer minimizes the mean –square error between the output of the equalizer and the transmitted symbol, which is a stochastic gradient algorithm with low complexity. This approach tries to find a coefficient W which minimizes the criterion,

$$E \{ [w_{y-x}] [w_{y-x}]^H \} \quad (5)$$

To solve for x, we need to find a matrix W which satisfies  $WH = I$ . The Minimum Mean Square Error (MMSE) detector for meeting this constraint is given by

$$W = [(H^H + N_0 I)^{-1}H^H] \quad (6)$$

The MMSE detector considers the noise variance when inverting the channel matrix. Instead of removing ISI completely, an MMSE equalizer allows some residual ISI to minimize the overall distortion. Most of the finite tap equalizers are designed to minimize the mean square error performance metric but MMSE directly minimizes the bit error rate [7]-[17].

## VI. FADING CHANNELS

In recent years, theoretical and practical investigations have shown that it is possible to realize enormous channel capacities, far in excess of the point-to-point capacity given by the Shannon-Hartley law, if the environment is sufficient multipath. The majority of

work to date on this area has assumed flat sub-channels composing the MIMO channel. As the aim of MIMO systems is often to increase the data transmission rate of a communication system, a wideband and hence highly time-dispersive model would be more appropriate. To properly exploit this environment to realize these capacity increases, the MIMO channel must be equalized so that the performance of any system attempting to harness the multipath diversity can do so while maintaining a satisfactory BER performance. Assuming that the response of the MIMO channel is known at the receiver, a method to create a suitable equalizer is to analytically invert the frequency selective, or time-dispersive.

### a) Rayleigh Flat Fading

Flat fading channels can be approximated by Rayleigh distribution if there is no line of sight which means when there is no direct path between transmitter and receiver. The received signal can be simplified as ,

$$r(t) = s(t) * h(t) + n(t) \quad (7)$$

where, h(t) is the random channel matrix having Rayleigh distribution and n(t) is the additive white Gaussian noise. The Rayleigh distribution is basically the magnitude of the sum of two equal independent orthogonal Gaussian random variables and the probability density function (pdf) given by:

$$p(r) = \frac{r}{\sigma^2} e^{-\frac{r^2}{2\sigma^2}} \quad 0 \leq r \leq \infty \quad (8)$$

where,  $\sigma^2$  is the time-average power of received signal [18]-[19]

### b) Rayleigh Frequency Selective Fading

Frequency-selective fading can be viewed in the frequency domain, although in the time domain, it is called multipath delay spread. The simplest measure of multipath is the overall time span of path delays from the first pulse to arrive at the receiver to the last pulse to arrive at the receiver. When viewed in the frequency domain, a channel is referred to as frequency-selective if  $f_0 < 1/T_s = W$ , where the symbol rate,  $1/T_s$  is nominally taken to be equal to the signal bandwidth W. Flat fading degradation occurs whenever  $f_0 > W$ . Here, all of the signal's spectral components will be affected by the channel in a similar manner (e.g., fading or no fading). In order to avoid ISI distortion caused by frequency-selective fading, the channel must be made to exhibit flat fading by ensuring that the coherence bandwidth exceeds the signalling rate. Narrowband channel belongs to flat fading channels, where all the frequency components of the transmitted signal behave similarly. For wideband signal, the signal bandwidth,  $W_s$ , may be significantly higher than the coherence bandwidth. Consequently, two frequency components separated by a frequency of the coherence bandwidth or beyond may behave significantly differently. Hence, wideband

channels are typically frequency-selective fading channel [18]-[19].

## VII. RESULTS & DISCUSSIONS

### a) Performance under flat and frequency selective Rayleigh Channels

A  $2 \times 2$  MIMO-OFDM uncoded system is considered with QPSK modulation under flat fading Rayleigh channel and the performance of ZF and MMSE detectors are compared in terms of BER Vs  $E_b/N_0$ .

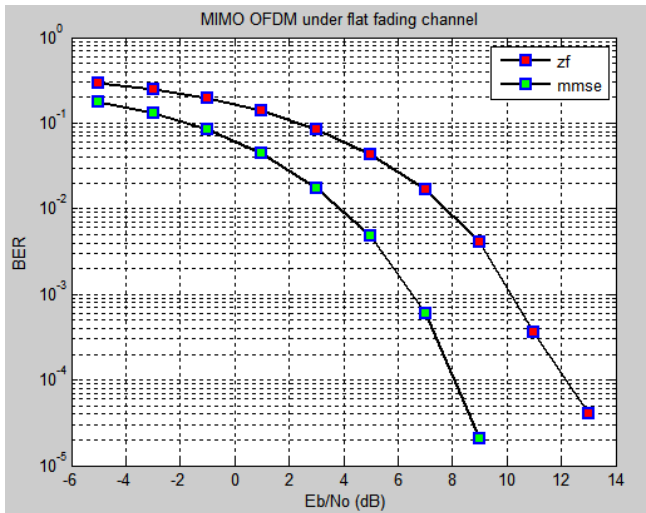


Fig.4 : ZF & MMSE under flat fading Rayleigh channel

At SNR of 7dB, the target of  $10^{-3}$  BER is achieved using MMSE detector and the same is achieved at the SNR of 10 dB with ZF detector as shown in Fig.3. The MMSE detector considers the noise variance when inverting the channel matrix thus it has a better estimate to that of the ZF, which amplifies the channel noise. Thus, by suppressing both the interference as well as the noise components MMSE is a superior receiver than ZF which only suppresses the interference components. OFDM divides a communications channel into a number of equally spaced frequency bands called a subcarrier which carries a portion of the desired information and is transmitted in each band. OFDM converts a wide band frequency selective channels in to multiple flat channels. Here, the channel used is Rayleigh flat fading channels. Hence, the performance is better of the MIMO-OFDM system close to as in AWGN channel.

For the same input scenario, the performance of the system is evaluated under Rayleigh Frequency Selective Channel. An  $M \times N$  uncorrelated Rayleigh channel with uniformly distributed 6 taps over the channel length  $L=85$  is considered.

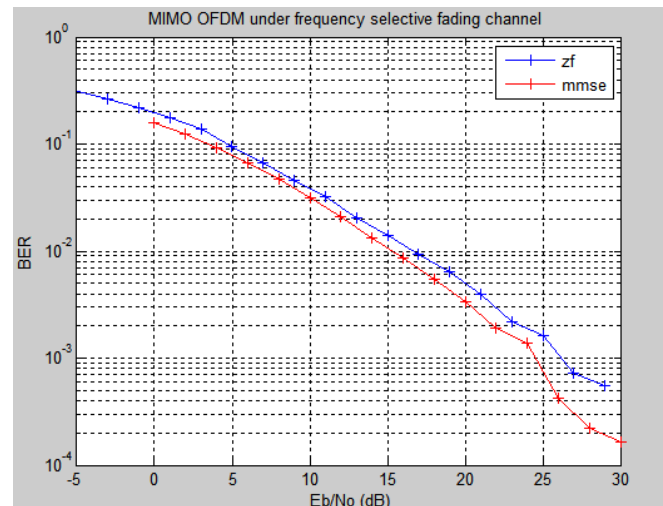


Fig.5 : ZF & MMSE under frequency selective Rayleigh fading channel

System capacity could be linearly increased with the number of antennas when the system is operating over flat fading channels. In real situations, multipath propagation usually occurs and causes the MIMO channels to be frequency selective. OFDM transforms the frequency-selective fading channels into parallel flat fading sub channels. MIMO OFDM significantly simplifies MIMO baseband receiver processing by eliminating the need for a complex MIMO equalizer. The performance of MMSE receiver though degrades under frequency selective channel as compared to flat fading channel. At SNR of 24dB, the target of  $10^{-3}$  BER is achieved using MMSE detector and the same is achieved at the SNR of 27 dB with ZF detector as shown in Fig.3. In this case also, MMSE performs better than ZF.

### b) Performance with various modulation schemes

For  $2 \times 2$  configuration, the performance of ZF and MMSE is checked under various modulation techniques, such as, QPSK, 16-QAM and 64-QAM for Rayleigh flat and frequency selective channel for target of  $10^{-3}$  BER.



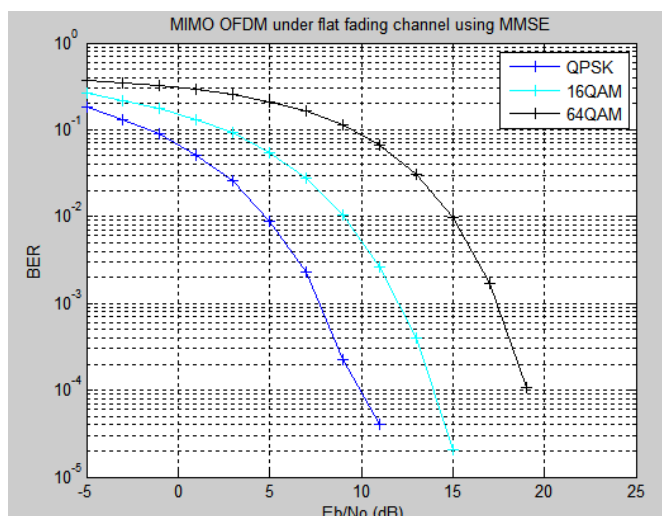


Fig. 6 : MMSE performance for different modulation schemes under flat fading Rayleigh Channel

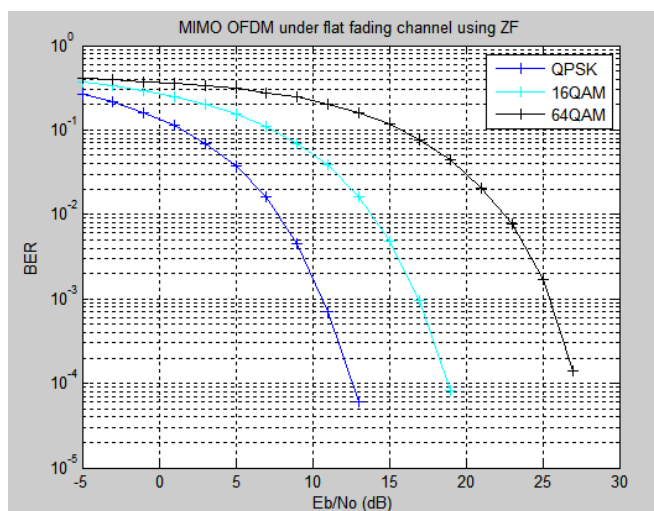


Fig. 7 : ZF performance for different modulation schemes under flat fading Rayleigh Channel

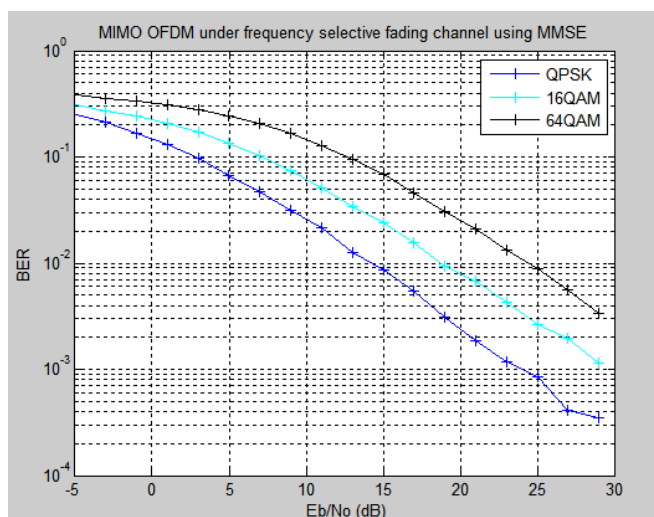


Fig. 8 : MMSE performance for different modulation schemes under frequency selective Rayleigh Channel

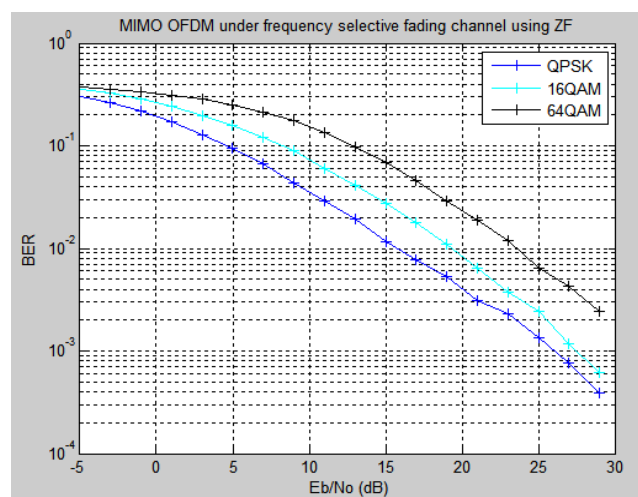


Fig.9 : ZF performance for different modulation schemes under frequency selective Rayleigh fading Channel

Under QPSK modulation, lowest BER is achieved and 64-QAM the highest. BER increases as the order of the modulation order i.e.  $M$  increases. This increase is due to the fact that as the value of  $M$  increases distances between constellation points decreases which in turn makes the detection of the signal corresponding to the constellation point much tougher. The solution to this problem is to increase the value of the SNR so, that the effect of the distortions introduced by the channel will also go on decreasing, as a result of this, the BER will also decrease at higher values of the SNR for high order modulations.

In all the cases though, the performance of MMSE is better than ZF.

At $10^{-3}$ BER	Rayleigh Flat Fading (ZF)	Rayleigh Flat Fading (MMSE)	Rayleigh Frequency Selective (ZF)	Rayleigh Frequency Selective (MMSE)
Modulation Scheme	SNR in dB	SNR in dB	SNR in dB	SNR in dB
QPSK	11	7	26	24
16-QAM	16.5	11.5	27.5	29
64-QAM	26	17	33	31

Table.1 : MMSE and ZF performance for different modulation schemes under frequency selective and flat Rayleigh Channel

#### a) Performance with different antenna configurations

From basic  $2 \times 2$ , the antennas configuration at the transmitter and receiver is increased equally to  $4 \times 4$  and  $8 \times 8$  sizes and the performance in terms of BER Vs SNR is evaluated for MMSE detector using QPSK and 64-QAM modulation.



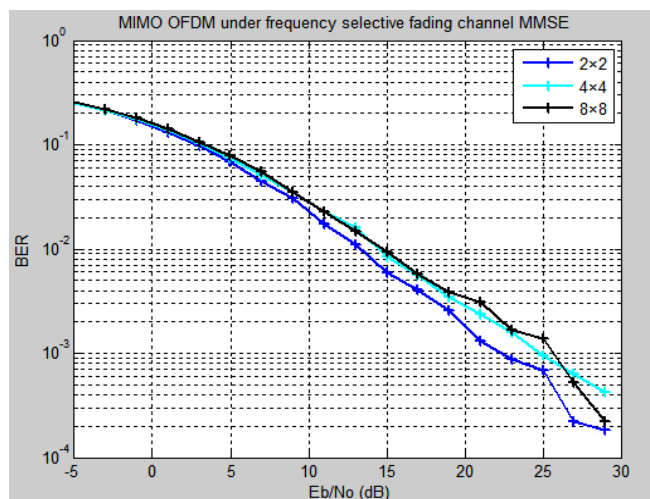


Fig. 10: Performance for different antenna configurations using QPSK modulation with MMSE

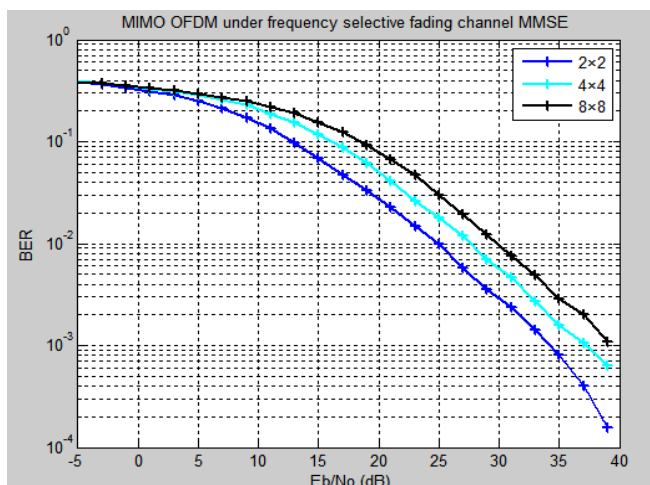


Fig. 11: Performance for different antenna configurations using 64-QAM modulation with MMSE

Figure 11 depicts that if antenna configurations are increased from  $2 \times 2$  to  $4 \times 4$  and similarly from  $4 \times 4$  to  $8 \times 8$ , an increment in SNR (dB) of around 2 dB is required to achieve same amount of BER. Thus the spectral efficiency gets doubled in case of MIMO SM technique at the expense of small amount of increment in SNR (0 to 3db). With higher antenna configuration, higher channel capacity is achieved with a small expense of SNR. This is the benefit of spatial multiplexing and spatial multiplexing detectors

## VIII. CONCLUSION

MIMO-OFDM spatial multiplexing is a promising solution to achieve high data rates and robust communication for future wireless systems. The performance of Minimum Mean Square Error (MMSE) detector is near optimal and of low complexity to achieve good SINR (signal-to-interference-plus noise) ratio. Among linear receivers, performance of MMSE is

better than ZF by 3 dB in all conditions. BER of  $10^{-3}$  is achieved at 7 dB SNR under Rayleigh flat fading environment and 24 dB under Rayleigh frequency selective environment. In real-world scenarios, MIMO channels undergoes frequency selective fading, so the performance of a system and its detector is very important to be evaluated under frequency selective channel condition. Using MMSE as a detector and QPSK as a modulation scheme, minimum BER and best performance is achieved. Increasing the modulation order will increase the BER but at the same time it will increase the capacity. Using MMSE with 64-QAM gives maximum throughput than other modulation techniques. Increasing the antenna configuration from  $2 \times 2$  to  $4 \times 4$  to  $8 \times 8$ , an increment in SNR (dB) of around 2 dB is required to achieve same amount of BER but at the same time spectral efficiency is enhanced due to multiplexing gain thus leads to an increased channel capacity.

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# A Comparative Study on Location based Multicast Routing Protocols of WSN:HGMR,HRPM,GMR

By Kanchan Verma

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**Abstract-** Wireless sensor network comprises of a set of sensor nodes that communicate among each other using wireless links and work in an open and distributed manner due to which wireless sensor networks are highly prone to attacks. This is difficult to determine the position of the sensor nodes; therefore the sensor network protocols must inculcate self-organizing competence. Location awareness is one of the important concern in WSN because for a network mostly data collection is grounded on location, so this is imperative for all the nodes to know their position whenever it is required and it is also helpful in calculating the distance between two particular nodes to deal with energy consumption issues. This paper focuses on the three location based routing multicast protocols: HGMR, HRMP, GMR and their comparison is done on the basis of different metrics like latency, PDP, encoding overhead etc.

**Keywords:** *wsn(wireless sensor network); location based multicast routing protocols; hgmr(hierarchical geographic multicast routing); gmr(geographic multicast routing); hrpm(hierarchical rendezvous point multicast); pdp(average packet delivery ratio); normalized encoding overhead(neo); average delivery latency.*

**GJCST-E Classification :** C.2.2



*Strictly as per the compliance and regulations of:*



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## I. INTRODUCTION

WSN offers an umpteen number [4] of applications in areas such as traffic monitoring, habitat monitoring, pollution monitoring robotic exploration, and many more. The sensor nodes need to be inexpensive, small, limited computation and communication, less energy resources. Sensors know their position using GPS or other virtual position systems moreover sensors share their information with their neighbors and then messages are delivered to the nodes which are located out of their radio range and sometimes single sensors need to send data to multiple destinations and to run these applications the use of multicast communication is required. Multicasting is a technique used in order to deliver messages efficiently from a source to a set of destinations to carry activities such as task assignments, code update and targeted queries, therefore multicasting is salutary to maintain as the energy is limited available in WSN networks. Multicasting protocols focus on minimizing the consumption of network resources by taking the

advantage of the fact that some parts of the paths from the source to destinations can be shared by multiple destinations. WSN is characterized by its topological changes due to node failure or duty cycle operations and these characteristics make localized routing algorithms more appropriate for sensor networks. Localized algorithms do not need to know the entire topology in order to take routing decisions as comparative to that of centralized ones in which too much overhead is introduced.

## II. ROUTING PROTOCOLS IN WSN

[4] Routing in wireless sensor networks differ from traditional wireless communication network (MANET) as the number of sensor nodes in wireless sensor networks can be several orders of magnitude which is higher than that in MANET, sensor nodes do not have any unique ID, [17] sensor nodes are cheaper than nodes in MANET, [16] power resources of sensor nodes should be very limited, sensor nodes are more limited in their computation and communication capabilities than MANETs, moreover sensor nodes are prone to failures. Therefore there is no infrastructure, sensor nodes may fail, wireless links are unreliable, and routing protocols have to meet strict energy saving requirements [17] so, it is imperative to study routing protocols for wireless sensor networks. The routing protocols proposed for WSN are classified into four main categories as,

- Data centric protocols. [12] These are those protocols which are query based and to reduce the repeated transmission, these protocols depend on the naming of data of interest.
- Hierarchical protocols. These are those protocols in which the sensors in the network are divided into different clusters [7]. It is an efficient way to reduce energy consumption within a cluster by introducing data aggregation and fusion to decrease the number of transmitted messages to the base station.
- Location based protocols. These protocols utilize the position information of nodes to relay data to the destinations. On the basis of the incoming signal strength the distance between the neighboring nodes is estimated [5]. Here the region which is to be sensed is known in advance using the location of

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sensors and therefore the query generated will be diffused only to that particular region which will significantly estimate the number of transmissions.

- Energy efficient protocols. These protocols are to balance the energy consumption in the network as they are energy efficient as they utilize the power in an effective manner and consume less energy [17].

#### a) Unicast and multicast routing protocols

Earlier we have unicast routing protocols which were not that much efficient in terms of energy consumption, encoding overhead and many more.[4] The overhead in a WSN is to be kept low due to limited battery, storage capacity, bandwidth and processing power of sensor nodes so an efficient multicast mechanism is required to attenuate the overall consumption of resources in the network and to obtain this efficiently we need to send as limited copies as possible of each datagram to reach all the destinations. Multicasting is used with those sensors which are required to deliver the same data to the number of sinks whose position is known in advance; moreover from one sink we can multicast the same packets to other sinks with the help of sensors from the network.

#### b) Location based multicast routing protocols

Earlier Position based multicast routing protocols were used because of their application potential in networks with demanding requirements. These protocols route decisions with the use of location information. Among all the position based protocols the geographic approach is the one which seize the attention mostly due to umpteen advantages. [13]The geographic routing is one of the debonair ways to forward packets from source to destination in a demanding environment without having wastage of network resources or creating any hindrance in the network design, so it is used in high number of applications including number of areas such as industry, home ,health, environment, military and commerce .The location based routing protocols are based on dealing with location information to guide routing discovery and maintenance as well as data forwarding, permitting directional transmission of the information and evading information flooding in the whole network. It mainly focuses on calculating the distance between the two particular nodes so that energy consumption can be estimated. There are number of location based approaches which deal with the location information in order to send the data packets from one node to another so that the data reaches in an efficient way in many terms or metrics. Nowadays the use of wireless networks is mushroomed drastically and the main concern is the deteriorated non rechargeable battery power of sensor nodes so it is salutary to have energy saving optimization in WSN. [15]There are two protocols which were earlier proposed to optimize two orthogonal aspects of location based multicast protocols: [12] GMR

which ameliorates the forwarding efficiency of packets by elevating the multicast advantages. HRPM deteriorates the encoding overhead by constructing a hierarchy at virtually no maintenance cost via the use of geographic hashing. The HGMR assimilates the key design of GMR and HRPM and optimizes them for WSN by providing both forwarding efficiency as well as scalability to large scale networks. These protocols are analyzed as,

#### i. Geographic multicast routing protocol

[3] Geographic multicast routing protocol was proposed by Juan A.Sanchez, Pedro M.Ruiz and Ivan Stojmenovic. [11] It is fully distributed and operates in a localized manner in tree formation. This is a Geocasting based protocol. Here each packet carries the ID's of multicast destinations and then forward it to each of the destination independently in a greedy manner. Those destinations which share the same next hop will go along the same way in the hop-by-hop forwarding in GMR. Path sharing will help to reduce total tree cost for reaching different destinations. Each packet is forwarded in a hop-by-hop manner until it reaches its intended or desired destination.

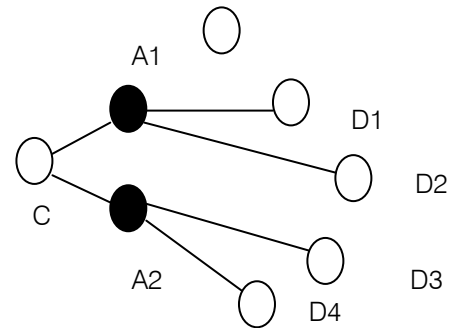


Figure 1: Forwarding Node Selection In Gmr [15] [18]

As earlier centralized membership management is done at the multicast root, but in GMR it is done along the multicast tree to send a data packet down the multiple branch of the multicast tree using one broadcast transmission.

#### Advantages [14]:

- Bandwidth utilization is provided to minimize the total number of transmissions for accomplishing a multicast task.
- GMR protocol is an energy inefficient protocol and it exhibits high delay during communication.

#### Disadvantages [15] [18][22]:

- Scalability issues are there for large scale networks.
- Too much encoding overhead.
- Energy consumption is limited to the nodes on the routing paths as for every data delivery same paths are created.
- In GMR there are more destinations so more complex is the evaluation, as the cost and the



progress need to evaluate for every subset of destinations at every hop.

### ii. Hierarchical Rendezvous Point Multicast

[19] Hierarchical Rendezvous Point Multicast was introduced by Saumitra M.Das, Himabindu Pucha and Y.Charlie. [13] It reduces encoding overhead of location based multicast protocols by constructing a hierarchy by dividing the network into multicast groups and then into subgroups, then further each subgroup is restrained by its coordinator which is known as access point (AP).. This protocol uses the concept of mobile geographic hashing to reduce the maintenance of AP (access point) and RP (rendezvous point) nodes at virtually no maintenance cost. The need for this protocol is to construct and maintain hierarchy to have low encoding overhead. HRPM is designed to work for multicast communication and for HRPM there is no need to take care of cost factors like in GMR protocol.

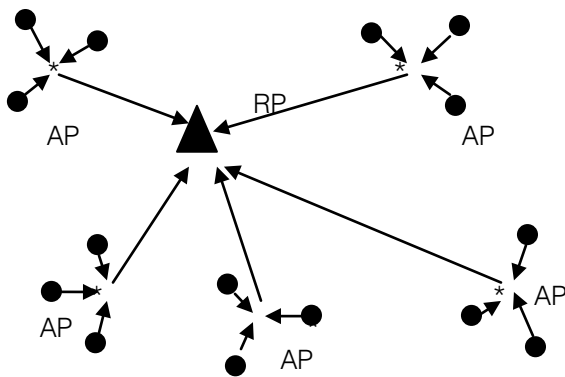


Figure 2 : Group Management In Hrpm [2]

#### Advantages [13] [19]:

- Reduced encoding overhead and delay is less.
- Scalable protocol and its performance do not decrease due to any change in network size or node density.

#### Disadvantages [19][2]:

- Consumes a lot of energy and therefore inefficient in terms of packet transmission as at each node along the source→APs (access point) or the AP→Member tree.
- Packet unicast to more than one neighbor node which consumes bandwidth.

### iii. Hierarchical Geographic Multicast Routing (HGMR)

Hierarchical Geographic Multicast Routing Protocol was proposed by Dimitrios Koutsou, Sumitra Das, Charlie Hu. and Ivan Stojmenovic [19]. HGMR put together the GMR and HRPM protocol [3]. It includes hierarchical decomposition of a multicast group into subgroups of manageable size which results in reduced encoding overhead using HRPM concept of mobile geographic hashing and within each subgroup it uses GMR concept. [7] Here the source builds an overlay

tree, the source→to→AP tree and another overlay tree as AP→to→member tree. To transmit data packets from source the unicast based forwarding strategy of HRPM is used to propagate data packets to each AP along the source→to→AP overlay tree and in case of constructing an AP→to→member overlay tree in each cell. [8] Here local multicast scheme is used to forward a data packet along multiple branches of the multicast tree in one transmission. Hence it combines the high forward efficiency of GMR with low encoding overhead of HRPM.

[13] The need is to design such a protocol which provides scalability as well as forwarding efficiency.

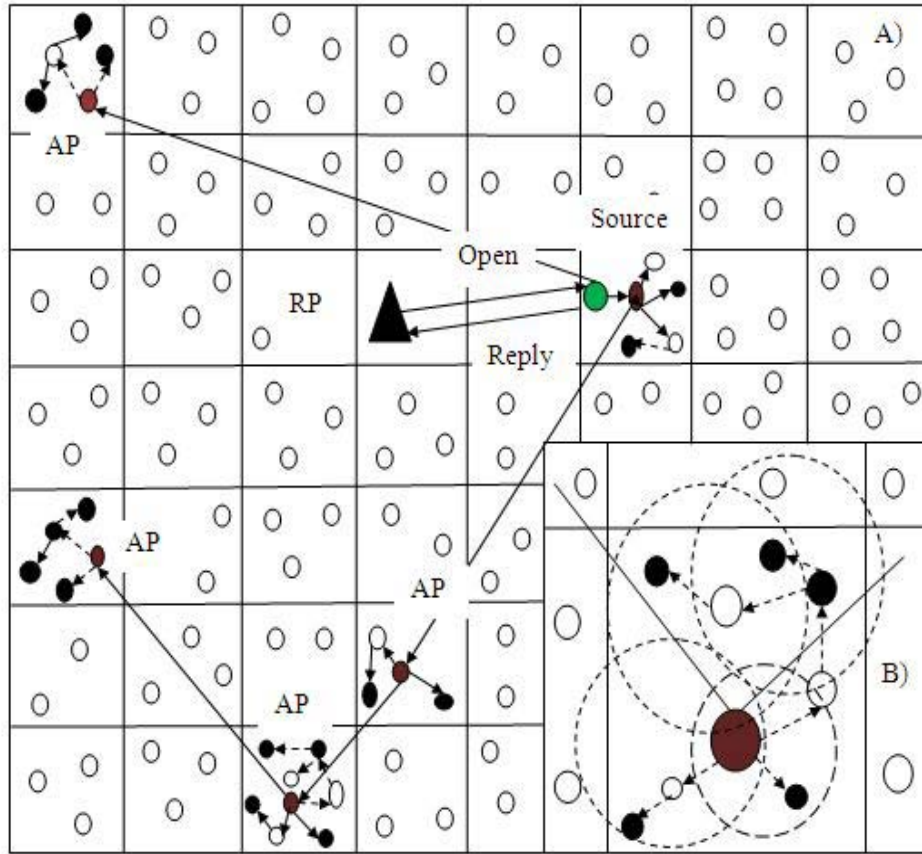
#### Advantages [7] [19]:

- Energy efficient and encoding efficient protocol as it provides higher forwarding efficiency which utilizes multicast advantages as concept of GMR is used in HGMR.
- Scalability is improved as it has low overhead hierarchical decomposition which is the concept of HRPM.
- Less delay as compared to GMR and HRPM.

#### Disadvantages [19]:

- Packets may be corrupted due to noise or the receiver may be unable to decode them due to low SNR and it increases with the packet size.
- Simple network partition may not achieve the optimal routing path from the root node to multicast group members.
- Here the routing data efficiency can be low because the data packets are always sent from the upper APs to lower APs without considering that lower APs may be closer to the source than upper APs.

Figure 3 : Data delivery in HGMR



### III. COMPARISON ON DIFFERENT METRICS

There are four main measurable metrics [4] to evaluate the effectiveness of these three protocols for data forwarding.

1. **Packet Delivery Ratio (PDR).** It is the ratio of number of data packets delivered to a multicast group member divided by the number of data packet transmitted by the [1] source which is averaged over all multicast group members. It is of the amenities because in the realistic environment there is packet loss.
2. **Average Delivery Latency (Delay).** The packet delivery ratio is calculated over all multicast packets delivered to all receivers. It inculcates all possible delays which are [8] caused by queuing at the interface queues, propagation, transfer time and back off at MAC layer when the channel is busy.
3. **Data transmission of packets.** The total number of packets delivered [1] from the source to the destination is the measure of the efficiency of the multicast path selected.
4. **Network encoding overhead.** Total number of encoding bytes transmitted at every hop to the total number of data bytes transmitted at every hop. Here the encoding bytes are the bytes used in each data packet to encode the information required by each protocol.

5. **Forwarding cost.** The total number of data packet transmissions divided by the total number of packets received by all the multicast members. It gives the average number of transmissions required per delivered packet. In an ideal environment, the number of data received (denominator) is same for all protocols, and hence this metric degenerates to be the same as the total number of transmissions. In a realistic environment, the PDR is different for each protocol, and hence this metric combined with the total number of transmissions gives a better picture of the forwarding efficiency of each protocol.

Earlier by Dimitrios Koutsonikolas et al. the simulation of these existing protocols is done using Glomosim simulator but here in this papers simulation is done using MATLAB and on the basis of the results the comparison table is drawn.

Table 1 : Comparison Of Location Based Multicast Protocols In Wsn[1]

S.No	Protocol Metrics	GMR	HRPM	HGMR
1.	Data transmission	Very Less (200,000)	High (322,000)	Less or same as GMR (200,000)
2.	PDR	Low (60%)	(high) 82%	(very high) 83%
3.	latency	Highest (0.068 sec)	average (0.054 sec)	lowest (0.053 sec)
4.	FC	low (1.1)	high (1.5)	lowest (0.8)
6.	NEO	high (38%)	low (14%)	Average (16%)

Table 2 : Comparative Study Of Location Based Multicast Protocols In Wsn[14][1]

Protocol name	year	author	approach	advantages	Disadvantages
GMR	2006	Juan A.Sanchez,Pedro M.Ruiz and Ivan stojmen	Geocast based approach to optimize cost over progress ratio	Bandwidth utilization proper and forward efficiency is provided	Scalability issues for large scale network, too much encoding overhead
HRPM	2007	Saumitra M.Das,Himabindu Pucha,Y.charlie	reduces encoding overhead of location based multicast protocols by constructing a hierarchy	Reduced encoding overhead, scalable protocol, delay less than GMR	Inefficient in terms of packet transmission, consumes a lot of energy so inefficient
HGMR	2010	Dimitrios Koutsonikolas,Saumitra Das,Charlie Hu .and Ivan Stojmenovic	Combined together GMR and HGMR	Less delay than GMR and HGMR, efficient routing with the help of multicast groups	Load balancing problem, do not achieve optimal routing path, routing data efficiency can be low

Comparison of location based protocols is done on the basis of the four performance metrics. Among all the three location based protocols HGMR, HRPM and GMR, HGMR shows better performance, as it is combination of the GMR and HRPM protocols.

#### IV. CONCLUSION

Location based routing in sensor networks has captivated a lot of attraction in the recent years. In this paper we have summarized recent research results on three location based protocols HGMR, HRPM and GMR.As our study revels, that out of all these three routing protocols HGMR performs better. Although many routing protocols have been proposed for sensor networks, many issues still remain to be addressed.

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# PAPR Reduction using PTS-PSO Technique for $16 \times 16$ MIMO-OFDM Systems with 16-QAM

By Jayati Das & Rajesh Bansode

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**Abstract-** In this paper, it is proposed that a particle swarm optimization (PSO) based partial transmit sequence (PTS) technique is used so that least Peak-to-Average Power Ratio(PAPR) is achieved in Multiple Input Multiple Output- Orthogonal Frequency Division Multiplexing systems (MIMO-OFDM). Our approach is to apply PSO based PTS on each antenna of the system helping to find the optimal phase factors, which is a straightforward method to achieve minimum PAPR in this system. PSO based PTS algorithm when applied to MIMO-OFDM systems with a wide range of phase factors, results in high performance after simulation. The results PAPR achieved for  $16 \times 16$  MIMO-OFDM systems without PTS using 16-QAM is 15.8dB whereas with PTS the PAPR achieved is 7.1 dB therefore overall reductions PAPR with and without PTS is 8.7 dB. Similarly PAPR achieved for  $16 \times 16$  MIMO-OFDM systems without PTS-PSO using 16-QAM is 15.8 dB whereas with PTS-PSO the PAPR achieved is 3.6 dB therefore overall reductions PAPR with and without PTS is 12.2 dB. The final reduction in PAPR resulted as 8.7 dB and 12.2 dB respectively.

**Keywords:** MIMO-OFDM, PTS, PSO, PAPR, CCDF.

**GJCST-E Classification :** C.2.1



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Jayati Das<sup>α</sup> & Rajesh Bansode<sup>σ</sup>

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## I. INTRODUCTION

Multiple antennas used at the transmitter and receiver in the wireless communication system known as MIMO. These schemes are highly considered to improve the range and performance of an overall system. Therefore, the use of multiple antenna permits to transmit and receive simultaneously by eliminating the multipath effect. MIMO allows higher throughput, diversity gain having increased spectral efficiency and interference reduction [1]. It offers high data rate and improved link reliability due to antenna diversity gain through spatial multiplexing gain. Orthogonal frequency division multiplexing (OFDM) is a multicarrier modulation technique, which decreases the effect of the noise and interferences, MIMO technique can be used in conjunction with OFDM to increase the diversity gain and/or the system capacity by exploiting spatial domain [2].

The best feature of MIMO-OFDM is to provide high data rate for wireless communications. However, for transmitted signal high peak-to-average power ratio (PAPR) is a major drawback of the OFDM scheme [3].

Since MIMO-OFDM system is based on OFDM, it also faces the same issue. The high power amplifier (HPA) causes this high PAPR which is sensitive to nonlinear distortion. The nonlinear distortion generates inter-symbol interference (ISI) and inter-modulation, which increases the bit error rate.

Many techniques have been proposed in the literature to effectively address the high PAPR in OFDM systems. These approaches include the clipping techniques (that employ clipping or nonlinear saturation around the peaks to reduce PAPR) [4], coding techniques, and probabilistic (scrambling) techniques. Particle swarm optimization (PSO) is effective in optimizing difficult multidimensional discontinuous problems in a variety of fields. Main goal of PSO is to find in the field the location with the highest density of particles. Without any knowledge of the field a priori, the search begins in random locations with random velocities looking for particles. While a fundamental to use PTS is data blocks are divided into non overlapping sub-block with independent rotation factor. With lowest amplitude this rotation factor generates time domain data. The fundamental idea of this technique is subdividing the original OFDM symbol data into sub-data being transmitted through the sub-blocks which are then multiplied by the weighing value which has been differed by the phase rotation factor until choosing the optimum value which has low PAPR.

In this paper, a thorough study of PAPR Reduction in MIMO-OFDM using PTS is done. There by applying a straight forward technique this is implemented by applying PTS algorithm on each of the system's antennas [5]. This technique is called Independent PTS (IPTS).

The rest of this paper is organized as follows. In section II, describes proposed system architecture which is subdivided as Peak to Average power Ratio, Partial Transmit Sequence, Particle swarm optimization and PSO based PTS algorithm. The simulation results of the PSO based PTS MIMO-OFDM algorithm are presented and discussed in section III. Hence concluding the paper,

## II. SYSTEM ARCHITECTURE

In day to day increasing need of high-speed wireless communication, OFDM can be applied to transform frequency selective MIMO channel into parallel MIMO channels, in multipath fading environment

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by reducing the complexity of the receiver also high data rate robust transmission can be achieved. At the transmitting end, a number of transmission antennas are used. To space-time coding an input data bit stream is supplied, then modulated by OFDM and finally fed to antennas for sending out radiation. Before recovery of the original signal is made at the receiving end, incoming signals from transmitting end are fed into a signal detector and processed MIMO system with a transmit array of  $M_T$  antennas and a receive array of  $M_R$  antennas [6].

Problem of high PAPR a disadvantage in OFDM is discussed along with in depth knowledge of PAPR, how it causes problem in existing OFDM along with its

outcome. For reduction of this problem at first OFDM is generated by choosing the spectrum requisite based on the input data, and modulation scheme used. Same data is assigned to transmit for each carrier to be produced. The required phase and amplitude of them are calculated based on the modulation scheme [7]. Using an Inverse Fourier Transform (IFT) requisite spectrum is achieved and then converted back to its time domain signal. The peak value of the system is very high as compared to the average of the complete system due to presence of large number of modulated sub-carriers in an OFDM. This ratio of the peak to average power value is termed as Peak-to-Average Power Ratio.

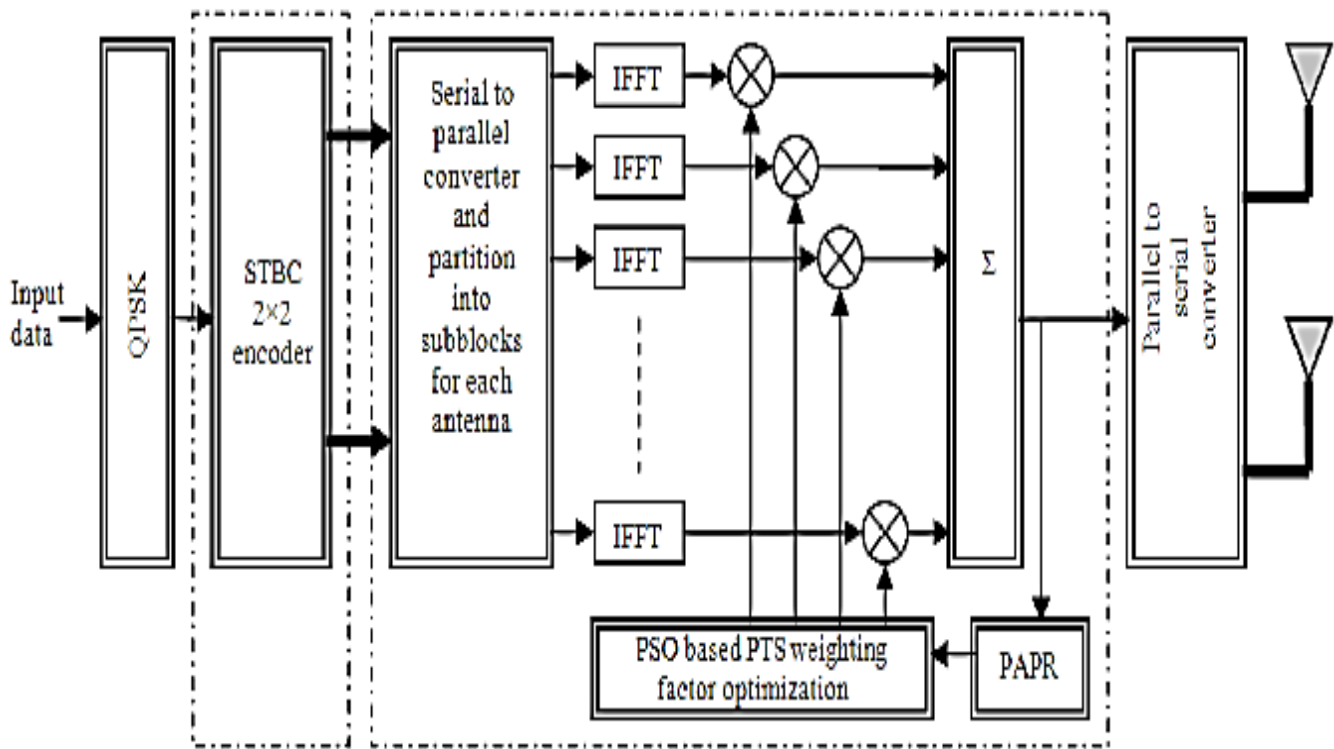


Fig.1: PAPR reduction technique by using PSO based PTS weighting factor

#### a) Peak to Average Power Ratio

OFDM signal show very high Peak to average power ratio. A high PAPR can cause the complexity increased of the analog-to-digital converter (A/D) and digital-to-analog converter (D/A). Therefore, Radio frequency amplifier (RF) can decrease the efficiency and it can operate in non-linear region which damaging the performance of communication system. In OFDM system, an input data block of length  $N$  can be written as  $X = [X_0, X_1, \dots, X_{N-1}]_T$ , and each symbol modulating one of a set of subcarrier  $\{f_n, n = 0, 1, \dots, N-1\}$ . The  $N$  subcarriers are selected to be orthogonal. The datablock of the OFDM symbol is given by

$$x(n) = \frac{1}{\sqrt{N}} \sum_{i=0}^{N-1} X_i e^{j \frac{2\pi n i}{N}}, \quad 0 \leq n \leq N-1 \quad (1)$$

PAPR of the OFDM signals defined as ratio between maximum power and the average power during the OFDM signal. Then the Peak to Average Power Ratio is expressed as:

$$PAPR = \frac{\max_{0 \leq t \leq NT} |x(t)|^2}{1/NT \int_0^{NT} |x(t)|^2 dt} \quad (2)$$

The large PAPR is reduced as value of  $\max |x(t)|$  decreased. The PAPR problems are arising by calculation of four sinusoidal signals with different frequency and phase shift logically.

Another major factor used in PAPR is Complementary Cumulative Distribution Function (CCDF), which is used to measure efficiency of PAPR technique. The Crest Factor (CF) is defined as the square root of PAPR.

$$\text{Crest Factor} = \sqrt{\text{PAPR}} \quad (3)$$

The CCDF expression of the PAPR of OFDM signals can be written as

$$\text{CCDF} = \max_{0 \leq t \leq NT} \frac{|x(t)|}{E[|x(t)|]} \quad (4)$$

$E[|x(t)|]$  is the average power. In several cases, the large PAPR can be decreased by reducing the value of maximum signal power for the reason that the large value of average power causes interference. There are several techniques to reduced PAPR, and is subdivided into two groups as signal scrambling techniques and signal distortion techniques. These can be further subdivided into many techniques such as clipping, peak windowing and peak cancellation.

#### b) Partial Transmit Sequence

Partial Transmit Sequence is a distortion less technique based on scrambling rotations to group of subcarriers. PTS is based on the same principle as Selected Mapping (SLM), but gives better performance than SLM. The basic concept of PTS technique is the input data block is portioned into disjoint sub-blocks. The sub-carriers which are transmitted through the sub-blocks are multiplied by weighing value of the phase rotation vector for those sub blocks [8]. The phase rotation vector is very carefully chosen such that the PAPR value is minimized. PTS is highly successful in PAPR reduction and efficient redundancy utilization; on other hand a considerable computational complexity is required to search with respect to high-dimensional vector space along with necessary transmission of side information (SI) to the receiver are challenges for a practical implementation. The complexity issue has been formulated such that the search problem of PTS is a combinatorial optimization (CO) problem

#### c) Particle Swarm Optimization

PSO is a population-based globalised optimization technique which supported the social manners of bird flocking looking for food. The particle is called the population members which are mass-less and volume-less. All particles represent an explanation of high-dimensional space; its current position and its best position create by its region. The velocity update and position value has two primary operators of PSO technique. The language used to discuss the PSO follows from the analogy of particles in a swarm.

#### d) Particle Swarm optimization based PTS Algorithm

PSO as an optimizer is used to solve the phase factor problem, which is shown as PSO process block in Fig below. In PSO algorithm solution space of the

problem is called particles, which is  $\varphi_k$  in the PTS based PSO scheme [9]. By moving the particles around in the search-space, the optimal solution of the phase problem will be reached. During the movement of the particles, each particle is characterized by two parameters: position and velocity [10]. The PSO algorithm evaluates particles with fitness value, which is PAPR the objective function. A solution space is randomly generated, which is a matrix of size  $S \times K$  where  $S$  is the number of particles and  $K$  is the number of disjoint sub-block [11]. In other words, the solution space is a matrix its rows are  $\varphi_1, \varphi_2, \varphi_3 \dots \dots \varphi_k$ .

Since the PSO is an iterative algorithm, in the  $i^{th}$  iteration each particle can be described by its position vector  $Y_{SK}^t = y_{s1}^t, y_{s2}^t, y_{s3}^t, \dots \dots y_{sk}^t$  and velocity vector is given as  $V_{SK}^t = v_{s1}^t, v_{s2}^t, v_{s3}^t, \dots \dots v_{sk}^t$ , where  $s \in [1, S]$  and  $Y_{SK}^t \in R$  where  $R$  denotes the domain of the objective function. The PSO algorithm searches the solution space for the optimum solution by using iteration process.

Each particle updates itself in every iteration by tracking two best positions. These are called the local best position, which is the best solution this particle achieved  $p_{sk} = p_{s1}, p_{s2}, p_{s3}, p_{s4}, \dots \dots p_{sk}$  and the global best position can be given as

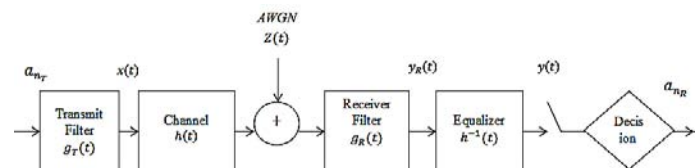


Fig. 2 : PAPR reduction technique by using PSO based PTS weighing factor

$p_{sk}^g = p_{s1}^g, p_{s2}^g, p_{s3}^g, p_{s4}^g, \dots \dots p_{sk}^g$  which the best position is obtained so far by any particle in the whole swarm. The updating process of the position and velocity of each particle can be expressed as

$$V_{SK}^{t+1} = wV_{SK}^t + c_1r_1(p_{sk}^t - Y_{SK}^t) + c_1r_1((p_{sk}^t)^g - Y_{SK}^t)$$

$$Y_{SK}^{t+1} = Y_{SK}^t + V_{SK}^t \quad (5)$$

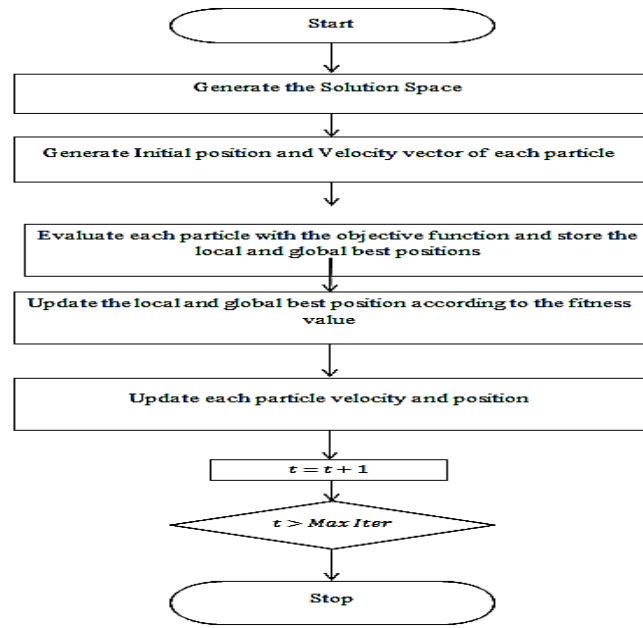


Fig. 3 : PSO-PTS Algorithm

Where  $c_1$  and  $c_2$  are the acceleration terms [12]. The constant  $r_1$  and  $r_2$  are uniform distribution random numbers in the range of  $[0, 1]$ ;  $w$  is the inertia factor.

### III. SIMULATION RESULT AND DISCUSSION

Complementary Cumulative Distribution Function (CCDF) of PAPR is calculated by generating 2000 random OFDM frames. The constant acceleration  $c_1 = c_2 = 2$  and the inertia factor  $w$  is calculated by using

$$w = (w_{max} - w_{min}) \times \frac{Iter_{max} - Iter_{min}}{Iter_{max}} + w_{min} \quad (6)$$

Fig.4. below shows CCDF of PAPR for PSO-PTS MIMO-OFDM ( $16 \times 16$ ) is compared with the original PAPR MIMO-OFDM. The PAPR of PSO-PTS MIMO-OFDM signal exceeds 3.6 dB is  $10^{-6}$  while with the same PAPR of the PTS MIMO-OFDM system exceeds 7.1 dB and the PAPR of the original MIMO-OFDM system exceeds 15.8 dB. The further study gives us the knowledge of reduction in PAPR is calculated as difference of MIMO-OFDM PAPR value without PTS to that of PAPR value of MIMO-OFDM with PTS.

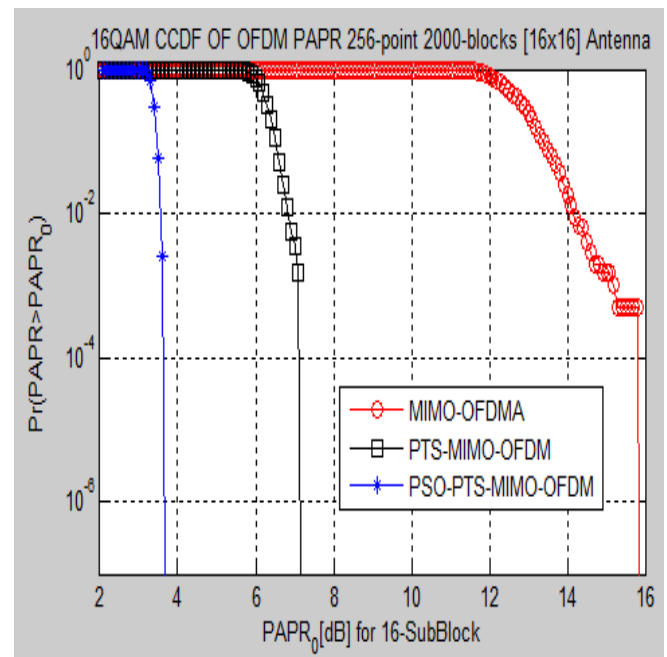
Fig. 4 : CCDF vs. PAPR for PSO-PTS MIMO ( $16 \times 16$ )

Table 1: CCDF vs. PAPR for PSO-PTS MIMO

Condition	PAPR(dB)	CCDF	Parameter
MIMO-OFDM	15.8	$10^{-6}$	2000blocks, $16 \times 16$ , 16 QAM, 256 Carrier
PTS MIMO-OFDM	7.1		
PTS-PSO MIMO-OFDM	3.6		

For simplicity adjacent portioning technique is used. By increasing the number of sub-blocks of PTS-PSO MIMO-OFDM system, the performance of the system is enhanced. The CCDF of PAPR exceeds the PTS-PSO MIMO- OFDM when  $K = \{4, 8, 16\}$  is shown in Fig.5. PAPR of 3.6 dB is achieved for CCDF  $10^{-6}$  when  $K = 16$ ; PAPR of 3.8 dB is achieved for CCDF  $10^{-6}$  when  $K = 8$ , and PAPR OF 4.4 dB is achieved for CCDF  $10^{-6}$  when  $K = 4$

Table 2 : CCDF vs. PAPR (Sub Blocks)

Sub-Blocks	PAPR(dB)	CCDF	Parameter
K=16	3.6	$10^{-6}$	2000blocks, 16 × 16, 16 QAM, 256 Carrier
K=8	3.8		
K=4	4.4		

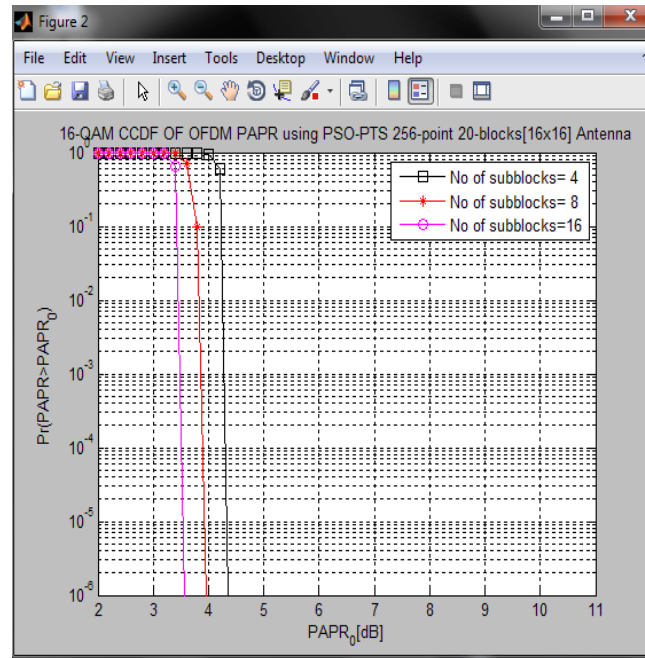


Fig. 5 : CCDF vs. PAPR for PSO-PTS MIMO (Sub-Blocks) (16×16)

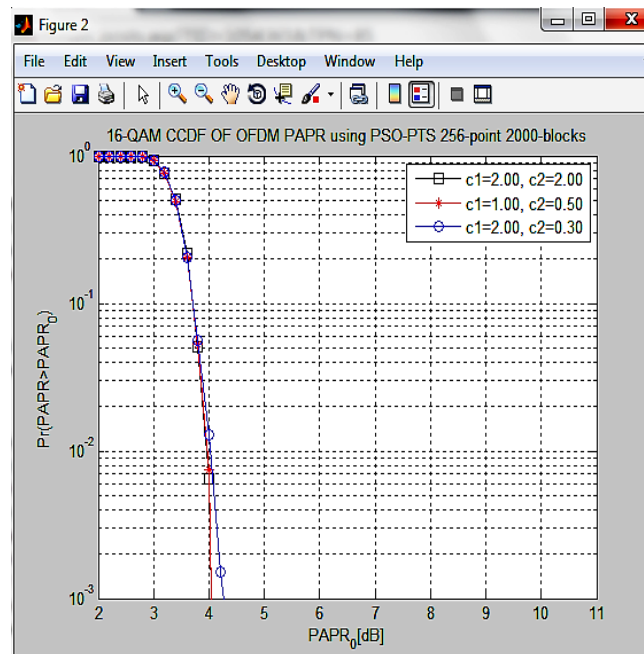


Fig.6. CCDF vs. PAPR for PSO-PTS MIMO (Acceleration Constant) (16×16)

The performance of PSO-PTS is analyzed for different constants accelerations are used. The

probability that the PAPR exceeds 3.4 dB is 0.01 when  $c_1 = c_2 = 2$  and exceeds 3.435dB is 0.0099 when  $c_1 = c_2 =$



0.3. It can be noted from the graph that  $c_1 = c_2 = 2$  is slightly better performance than other combinations.

**Table. 3 :** CCDF vs. PAPR (Acceleration Constant)

Sub Carrier	Acceleration Constant	PAPR (dB)	Probability	Parameter
256	$c_1 = c_2 = 2$	3.4	0.01	2000blocks(2k bits),16×16,16 QAM,256 Carrier
	$c_1 = c_2 = 0.3$	3.435	0.0099	

#### IV. CONCLUSION

In this paper, the PAPR of MIMO-OFDM systems using PSO algorithm is studied. The performance of the system is evaluated by calculating the CCDF. Applying PSO-PTS algorithm on MIMO-OFDM PAPR achieved for 16X16 MIMO-OFDM systems without PTS using 16-QAM is 15.8dB whereas with PTS the PAPR achieved is 7 dB hence reductions PAPR with and without PTS is 8.7 dB. Similarly PAPR achieved for 16X16 MIMO-OFDM systems without PTS-PSO using 16-QAM is 15.8 dB whereas with PTS-PSO the PAPR achieved is 3.6 dB therefore reductions PAPR with and without PTS is 12.2 dBby choosing the phase factors with high degrees of freedom the number of needed particles is low and the performance of PSO algorithm is enhanced. Performance of PSO-PTS had been analyzed for various Sub-Block and best PAPR is found for Sub-Block K=16 and is 3.6dB. And for acceleration constant the probability calculation is best found for  $c_1 = c_2 = 2$  with PAPR exceeding 3.4dB at probability of 0.01. The complexity of the search is low since the number of particles is also kept low. The system modeled had 16 Transmitting and Receiver antenna.

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1. General,
2. Ethical Guidelines,
3. Submission of Manuscripts,
4. Manuscript's Category,
5. Structure and Format of Manuscript,
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- A conceptual should situate on its own, and not submit to any other part of the paper such as a form or table
- Center on shortening results - bound background information to a verdict or two, if completely necessary
- What you account in an conceptual must be regular with what you reported in the manuscript
- Exact spelling, clearness of sentences and phrases, and appropriate reporting of quantities (proper units, important statistics) are just as significant in an abstract as they are anywhere else

### Introduction:

The **Introduction** should "introduce" the manuscript. The reviewer should be presented with sufficient background information to be capable to comprehend and calculate the purpose of your study without having to submit to other works. The basis for the study should be offered. Give most important references but shun difficult to make a comprehensive appraisal of the topic. In the introduction, describe the problem visibly. If the problem is not acknowledged in a logical, reasonable way, the reviewer will have no attention in your result. Speak in common terms about techniques used to explain the problem, if needed, but do not present any particulars about the protocols here. Following approach can create a valuable beginning:

- Explain the value (significance) of the study
- Shield the model - why did you employ this particular system or method? What is its compensation? You strength remark on its appropriateness from a abstract point of vision as well as point out sensible reasons for using it.
- Present a justification. Status your particular theory (es) or aim(s), and describe the logic that led you to choose them.
- Very for a short time explain the tentative propose and how it skilled the declared objectives.

### Approach:

- Use past tense except for when referring to recognized facts. After all, the manuscript will be submitted after the entire job is done.
- Sort out your thoughts; manufacture one key point with every section. If you make the four points listed above, you will need a least of four paragraphs.





- Present surroundings information only as desirable in order hold up a situation. The reviewer does not desire to read the whole thing you know about a topic.
- Shape the theory/purpose specifically - do not take a broad view.
- As always, give awareness to spelling, simplicity and correctness of sentences and phrases.

#### **Procedures (Methods and Materials):**

This part is supposed to be the easiest to carve if you have good skills. A sound written Procedures segment allows a capable scientist to replacement your results. Present precise information about your supplies. The suppliers and clarity of reagents can be helpful bits of information. Present methods in sequential order but linked methodologies can be grouped as a segment. Be concise when relating the protocols. Attempt for the least amount of information that would permit another capable scientist to spare your outcome but be cautious that vital information is integrated. The use of subheadings is suggested and ought to be synchronized with the results section. When a technique is used that has been well described in another object, mention the specific item describing a way but draw the basic principle while stating the situation. The purpose is to text all particular resources and broad procedures, so that another person may use some or all of the methods in one more study or referee the scientific value of your work. It is not to be a step by step report of the whole thing you did, nor is a methods section a set of orders.

#### **Materials:**

- Explain materials individually only if the study is so complex that it saves liberty this way.
- Embrace particular materials, and any tools or provisions that are not frequently found in laboratories.
- Do not take in frequently found.
- If use of a definite type of tools.
- Materials may be reported in a part section or else they may be recognized along with your measures.

#### **Methods:**

- Report the method (not particulars of each process that engaged the same methodology)
- Describe the method entirely
- To be succinct, present methods under headings dedicated to specific dealings or groups of measures
- Simplify - details how procedures were completed not how they were exclusively performed on a particular day.
- If well known procedures were used, account the procedure by name, possibly with reference, and that's all.

#### **Approach:**

- It is embarrassed or not possible to use vigorous voice when documenting methods with no using first person, which would focus the reviewer's interest on the researcher rather than the job. As a result when script up the methods most authors use third person passive voice.
- Use standard style in this and in every other part of the paper - avoid familiar lists, and use full sentences.

#### **What to keep away from**

- Resources and methods are not a set of information.
- Skip all descriptive information and surroundings - save it for the argument.
- Leave out information that is immaterial to a third party.

#### **Results:**

The principle of a results segment is to present and demonstrate your conclusion. Create this part a entirely objective details of the outcome, and save all understanding for the discussion.

The page length of this segment is set by the sum and types of data to be reported. Carry on to be to the point, by means of statistics and tables, if suitable, to present consequences most efficiently. You must obviously differentiate material that would usually be incorporated in a study editorial from any unprocessed data or additional appendix matter that would not be available. In fact, such matter should not be submitted at all except requested by the instructor.



## Content

- Sum up your conclusion in text and demonstrate them, if suitable, with figures and tables.
- In manuscript, explain each of your consequences, point the reader to remarks that are most appropriate.
- Present a background, such as by describing the question that was addressed by creation an exacting study.
- Explain results of control experiments and comprise remarks that are not accessible in a prescribed figure or table, if appropriate.
- Examine your data, then prepare the analyzed (transformed) data in the form of a figure (graph), table, or in manuscript form.

### What to stay away from

- Do not discuss or infer your outcome, report surroundings information, or try to explain anything.
- Not at all, take in raw data or intermediate calculations in a research manuscript.
- Do not present the similar data more than once.
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- Never confuse figures with tables - there is a difference.

### Approach

- As forever, use past tense when you submit to your results, and put the whole thing in a reasonable order.
- Put figures and tables, appropriately numbered, in order at the end of the report
- If you desire, you may place your figures and tables properly within the text of your results part.

### Figures and tables

- If you put figures and tables at the end of the details, make certain that they are visibly distinguished from any attach appendix materials, such as raw facts
- Despite of position, each figure must be numbered one after the other and complete with subtitle
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- All figure and table must be adequately complete that it could situate on its own, divide from text

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- Make a decision if each premise is supported, discarded, or if you cannot make a conclusion with assurance. Do not just dismiss a study or part of a study as "uncertain."
- Research papers are not acknowledged if the work is imperfect. Draw what conclusions you can based upon the results that you have, and take care of the study as a finished work
- You may propose future guidelines, such as how the experiment might be personalized to accomplish a new idea.
- Give details all of your remarks as much as possible, focus on mechanisms.
- Make a decision if the tentative design sufficiently addressed the theory, and whether or not it was correctly restricted.
- Try to present substitute explanations if sensible alternatives be present.
- One research will not counter an overall question, so maintain the large picture in mind, where do you go next? The best studies unlock new avenues of study. What questions remain?
- Recommendations for detailed papers will offer supplementary suggestions.

### Approach:

- When you refer to information, differentiate data generated by your own studies from available information
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- Submit to generally acknowledged facts and main beliefs in present tense.



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<b>References</b>	Complete and correct format, well organized	Beside the point, Incomplete	Wrong format and structuring



# INDEX

---

---

## **A**

Akyildiz · 19

---

## **C**

Cabric · 10

---

## **F**

Fragkiadakis · 10

---

## **H**

Hanxiao · 29

---

## **K**

Koutsonikolas · 26, 28

---

## **M**

Mitola · 10

---

## **R**

Rayleigh · 1, 41, 43, 45, 47, 48, 49, 50, 51, 53

Rendezvous · 24

Rondeau · 10

---

## **S**

Schreckenberg · 38

Sezanch · 28

Stojmenovic · 22, 24, 27, 28



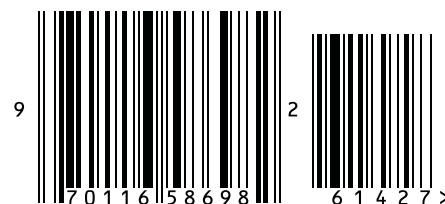
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ISSN 9754350