

# 1 HANDOFF MANAGEMENT: A Critical Function in Mobility 2 Management for Fourth Generation (4G) Wireless Networks

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## 6 Abstract

7 Efficient mobility management techniques are critical to the success of next-generation  
8 wireless systems. Handoff management, which is one of the two basic functions of mobility  
9 management, has become more critical in fourth generation wireless networks which support  
10 multimedia services. The paper treats basic issues involved in handoff management aspect of  
11 general mobility management in wireless communication systems. The relevance of mobility  
12 management, handoff management, and general mobility management protocols are explained.  
13 The taxonomy of handoff mechanisms, causes of delays in handoffs, and security in handoff  
14 procedures are elicited. The paper concludes highlighting some open areas of research in  
15 providing seamless services.

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### 17 **Index terms—**

18 One of the research challenges for nextgeneration wireless systems is the design of intelligent mobility  
19 management techniques that take advantages of IP-based technologies to achieve global roaming among various  
20 wireless networks. Mobility management enables mobile wireless networks to locate roaming terminals for  
21 call delivery and to maintain connections as the terminal is moving into a new service area. Thus, mobility  
22 management supports mobile terminals (MTs), allowing users to roam while simultaneously offering them  
23 incoming calls and supporting calls in progress (Akyildiz & Ho, 1996).

24 Mobility management contains two components: location management and handoff management. Location  
25 management enables the system to track the attachment points of MTs between consecutive communications.  
26 Handoff (or handover) management enables the network to maintain a user's connection as the MT continues  
27 to move and change its access point to the network. Moreover, when a user is in the coverage area of multiple  
28 wireless networks, for example, in heterogeneous wireless environments, handoff management provides always best  
29 connectivity (Gustafsson, 2003) to the user by connecting the user to the best available network (Zhang, 2003).  
30 In nextgeneration wireless systems, there are two types of mobility for MTs: intra-system (intra-domain) and  
31 intersystem (inter-domain) mobility. Intra-system mobility refers to mobility between different cells of the same  
32 system. Intra-system mobility management techniques are based on similar network interfaces and protocols.  
33 Inter-system mobility refers to mobility between different backbones, protocols, technologies, or service providers.  
34 Based on intra-and inter-system mobility, the corresponding location management and handoff management can  
35 be further classified into intra-and inter-system location management and handoff management.

36 Efficient mobility management techniques are critical to the success of next-generation wireless systems.  
37 Efficient location management design implies minimized signaling overhead for location update and paging as  
38 well as minimized update and paging delay. particular, handoff latency is critical for real-time applications such  
39 as voice, real-time video, and streaming services and packet loss during handoff is important for both real-time  
40 and non real-time applications. Hence, handoff management has become more critical in fourth generation (4G)  
41 wireless networks which support multi-media services. For instance, services such as FTP require zero packet loss  
42 during handoff. Similarly, Internet-based gaming services require very low handoff latency. Therefore, efficient  
43 handoff management design implies minimized handoff failure rate, packet dropping rate, and handoff latency.  
44 In addition, Quality-of-Service (QoS) requirements, scalability, and robustness are also important.

45 With the increasing demands for new data and real-time services, wireless networks should support calls with  
46 different traffic characteristics and different Quality of Service (QoS) guarantees. In addition, various wireless

## 2 B) HANDOFF MANAGEMENT

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47 technologies and networks exist currently that can satisfy different needs and requirements of mobile users. Since  
48 these different wireless networks act as complementary to each other in terms of their capabilities and suitability  
49 for different applications, integration of these networks will enable the mobile users to be always connected to  
50 the best available access network depending on their requirements.

51 This integration of heterogeneous networks will, however, lead to heterogeneities in access technologies and  
52 network protocols. To meet the requirements of mobile users under this heterogeneous environment, a common  
53 infrastructure to interconnect multiple access networks will be needed. Although IP has been recognized to be  
54 the de facto protocol for nextgeneration integrated wireless, for inter-operation between different communication  
55 protocols, an adaptive protocol stack is also required to be developed that will adapt itself to the different  
56 characteristics and properties of the networks (Akyildiz et al., 2004a). Finally, adaptive and intelligent terminal  
57 devices and smart base stations (BSs) with multiple air interfaces will enable users to seamlessly switch between  
58 different access technologies.

59 For efficient delivery of services to the mobile users, the next-generation wireless networks require new  
60 mechanisms of mobility management where the location of every user is proactively determined before the service  
61 is delivered. Moreover, for designing an adaptive communication protocol, various existing mobility management  
62 schemes are to be seamlessly integrated. Each of these schemes utilizes IP-based technologies to enable efficient  
63 roaming in heterogeneous network (Chiussi et al., 2002). Therefore, efficient handoff mechanisms are essential  
64 for ensuring seamless connectivity and uninterrupted service delivery.

### 65 1 II. Issues in Wireless Network Handoff Management a) Im- 66 portance of Mobility Management

67 Mobility in wireless networks can take different forms (Akyildiz et al, 1996) such as:

68 ? Terminal Mobility: the ability for a user terminal to continue to access the network when the terminal  
69 moves;

70 ? User Mobility: the ability for a user to continue to access network services from different terminals under  
71 the same user identity when the user moves;

72 ? Service Mobility: the ability for a user to access the same services regardless of where the user is

73 In addition, a terminal or a user may be considered by a network to have "moved" even if the terminal or the  
74 user has not changed its physical location. This may occur when the terminal switched its connection from one  
75 type of wireless network to another, e.g., from a wireless local area network to a cellular network.

76 Mobility management is the fundamental technology to enable the seamless access to nextgeneration wireless  
77 networks and mobile services. Future IP-based wireless networks support all types of multimedia services  
78 including real-time services such as voice and video streaming as well as non-real-time services such as email,  
79 web-browsing, and FTP. Basic requirements of mobility management in next-generation wireless networks should  
80 include: first, the support of all forms of mobility; second, the support of mobility for both real-time and non-real-  
81 time applications; third, the support of users seamlessly moving across heterogeneous wireless networks in the  
82 same or different administrative domains; fourth, the support of an on-going user application session to continue  
83 without significant interruptions as the user moves. This session continuity should be maintained when a user  
84 changes its network attachment points or moves from one type of wireless network to another; and last, the  
85 support of global roaming, i.e. the ability for a user to move into and use different operators' networks. Finally,  
86 location management in next-generation wireless networks is critical to provide location based services.

87 In order to satisfy the above requirements, nextgeneration wireless systems with mobility management should  
88 have two basic functional capabilities:

89 ? Location Management: This is a process that enables the system to determine a mobile device's current  
90 location, i.e. the current network attachment point where the mobile device can receive traffic from the system.

91 ? Handoff Management: This is a process that Similarly, efficient handoff management support implies  
92 minimum latency and packet loss during handoff. In change involves the roaming into another network with a  
93 different operator, then network access control is also involved in the handoff process. Network access control  
94 includes authentication (verify the identity of a user), authorization (determine whether a user should use the  
95 network service), and accounting (collecting information on the resources used by a user).

### 96 2 b) Handoff Management

97 Handoff management is the process by which a mobile node keeps its connection active when it moves from one  
98 access point to another. There are three stages in a handoff process.

99 First, the initiation of handoff is triggered by either the mobile device, or a network agent, or the changing  
100 network conditions. The second stage is for a new connection generation, where the network must find new  
101 resources for the handoff connection and perform any additional routing operations. Finally, data-flow control  
102 needs to maintain the delivery of the data from the old connection path to the new connection path according to  
103 the agreed upon QoS guarantees. Depending on the movement of the mobile device, it may undergo various types  
104 of handoff. In a broad sense, handoffs may be of two types: (i) intra-system handoff (horizontal handoff) and  
105 (ii) inter-system handoff (vertical handoff). Handoffs in homogeneous networks are referred to as intra-system  
106 handoffs. This type of handoff occurs when the signal strength of the serving BS goes below a certain threshold

107 value. An intersystem handoff between heterogeneous networks may arise in the following scenarios (Mohanty,  
108 2006) -(i) when a user moves out of the serving network and enters an overlying network, (ii) when a user  
109 connected to a network chooses to handoff to an underlying or overlaid network for his/her service requirements,  
110 (iii) when the overall load on the network is required to be distributed among different systems.

111 The design of handoff management techniques in all-IP based next-generation wireless networks must address  
112 the following issues: (i) signaling overhead and power requirement for processing handoff messages should be  
113 minimized, (ii) QoS guarantees must be made, (iii) network resources should be efficiently used, and (iv) the  
114 handoff mechanism should be scalable, reliable and robust.

### 115 **3 c) General Mobility Management Protocols**

116 Mobile IP is the most widely used protocol for macro-mobility management. In addition to Mobile IP, three  
117 macro-mobility architectures are discussed in the section. These protocols are: Session Initiation Protocol (SIP)-  
118 based mobility management, multi-tier hybrid SIP and Mobile IP protocol, and network inter-working agent-based  
119 mobility protocol.

120 i. Mobile IP Mobile IP ??Perkins, 2008) is the most well-known macro mobility scheme that solves the  
121 problem of node mobility by redirecting the packets for the MN to its current location. It introduces seven  
122 elements: 1. Mobile node (MN) -a device or a router that can change its point of attachment to the Internet.  
123 2. Correspondent node (CN) -the partner with which MN communicates. 3. Home network (HN) -the subnet  
124 to which MN belongs. 4. Foreign network (FN) -the current subnet in which the MN is visiting. 5. Foreign  
125 agent (FA) -provides services to the MN while it visits in the FN. 6. Care-of-address (CoA) -defines the current  
126 location of the MN; all packets sent to the MN are delivered to the CoA. 7. Mobile IP protocol has three steps:  
127 (i) agent discovery, (ii) registration, and (iii) routing and tunneling.

128 Over the past several years a number of IP micro-mobility protocols have been proposed, designed and  
129 implemented that complement the base Mobile IP (Campbell & Gomez, 2001) by providing fast, seamless and  
130 local handoff control. IP micro-mobility protocols are designed for environments where MHs changes their  
131 point of attachment to the network so frequently that the base Mobile IP mechanism introduces significant  
132 network overhead in terms of increased delay, packet loss and signaling. For example, many real-time wireless  
133 applications, e.g. VOIP, would experience noticeable degradation of service with frequent handoff. Establishment  
134 of new tunnels can introduce additional delays in the handoff process, causing packet loss and delayed delivery  
135 of data to applications. This delay is inherent in the round-trip incurred by the Mobile IP as the registration  
136 request is sent to the HA and the response sent back to the FA. Route optimization (Perkins & Johnson, 2001)  
137 can improve service quality but it cannot eliminate poor performance when an MH moves while communicating  
138 with a distant CH. Micro-mobility protocols aim to handle local movement (e.g., within a domain) of MHs  
139 without interaction with the Mobile IP-enabled Internet. This reduces delay and packet loss during handoff  
140 and eliminates registration between MHs and possibly distant HAs when MHs remain inside their local coverage  
141 areas. Eliminating registration in this manner also reduces the signaling load experienced by the network. The  
142 micro-mobility management schemes can be broadly divided into two groups: 1. tunnel-based schemes and 2.  
143 routing-based schemes. In tunnel-based approaches, the location database is maintained in a distributed form  
144 by a set of FAs in the access network. (Misra et al., 2002) are tunnel-based micromobility protocol. Routing-  
145 based approaches forward packets to an MH's point of attachment using mobile-specific routes. These schemes  
146 introduce implicit (snooping data) or explicit signaling to update mobile-specific routes. In the case of Cellular  
147 IP, MHs attached to an access network use the IP address of the gateway as their Mobile IP CoA. The gateway  
148 decapsulates packets and forwards them to a BS. Inside the access network, MHs are identified by their home  
149 address and data packets are routed using mobile-specific routing without tunneling. Cellular IP (CIP) and  
150 handoff-aware wireless access Internet infrastructure (HAWAII) are routing-based micro-mobility protocols.

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### 152 **5 d) Handoff Management Protocols**

153 Handoff or handover is a process by which an MN moves from one point of network attachment to another.  
154 Handovers can be classified as either homogeneous or heterogeneous. A heterogeneous handover occurs when  
155 an MN either moves between networks with different access technologies, or between different domains. As  
156 the diversity of available networks increases, it is important that mobility technologies become agnostic to link  
157 layer technologies, and can operate in an optimized and secure fashion without incurring unreasonable delay and  
158 complexity. Supporting handovers across heterogeneous access networks, such as IEEE 802.11 (Wi-Fi), global  
159 system for mobile communications (GSM), code-division multiple access (CDMA), and worldwide interoperability  
160 for microwave access (WiMAX) is a challenge, as each has different quality of service (QoS), security, and  
161 bandwidth characteristics. Similarly, movement between different administrative domains poses a challenge since  
162 MNs need to perform access authentication and authorization in the new domain. Thus, it is desirable to devise  
163 a mobility optimization technique that can reduce these delays and is not tightly coupled to a specific mobility  
164 protocol. In this section, we describe different types of handovers and investigate the components that contribute  
165 to a handover delay. Some inter-technology and media-independent handover frameworks are then described.

166 **6 e) Taxonomy of Handoff Mechanisms**

167 Different types of handovers may be classified based on three parameters as follows: (i) subnets, (ii) administrative  
168 domains, and (iii) access technologies. Inter-technology: this type of handover is possible with an MN that is  
169 equipped with multiple interfaces supporting different technologies. An inter-technology handover occurs when  
170 the two points of attachment use different access technologies. During the handoff, the MN may move out of  
171 the range of one network (e.g., Wi-Fi) into that of a different one (e.g., CDMA). This is also known as vertical  
172 handover.

173 **7 i. Intra-technology**

174 This type of handoff occurs when an MN moves between points of attachments supporting the same access  
175 technology, such as between two Wi-Fi access points. An intra-technology handover may happen due to intra-  
176 subnet or inter-subnet movement and thus may involve the layer 3 trigger.

177 ii. Inter-domain When the points of attachment of an MN belong to different domains, this type of handoff  
178 takes place. A domain is defined as a set of network resources managed by a single administrative entity that  
179 authenticates and authorizes access for the MNs. A administrative entity may be a service provider or an  
180 enterprise. An inter-domain handover possibly involves an inter-subnet handover also.

181 iii. Intra-domain Handovers of this type occurs when the movement of an MN is confined within an  
182 administrative domain. Intra-domain movement may also involve intrasubnet, inter-subnet, intra-technology,  
183 and/or intertechnology handovers as well. iv. Inter-subnet An inter-subnet handover occurs when the two points  
184 of attachment belong to different subnets. The MN acquires a new IP address and possibly undergoes a new  
185 security procedure. A handover of this type may occur along with either an inter- or an intra-domain handover  
186 and also with either an inter- or an intratechnology handover.

187 v. Intra-subnet An intra-subnet handover occurs when the two points of attachment belong to the same subnet.  
188 This is typically a link layer handover between two access points in a WLAN networks, or between different cell  
189 sectors in cellular networks. It is administered by the radio network and requires no additional authentication  
190 and security procedures. Year 2014

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192 Each FA reads the incoming packet's original destination address and searches its visitor list for a corresponding  
193 entry. If an entry exists, it is the address of next lower level FA. The sequence of visitor list entries corresponding  
194 to a particular MH constitutes the MH's location information and determines the route taken by downlink  
195 packets. Mobile IP regional registration (MIP-RR) ??Fogelstroem et al., 2006), hierarchical Mobile IP (HMIP),  
196 and intra-domain mobility management protocol (IDMP).

197 i. Link layer delay Depending on the access technology, an MN may go through several steps with each step  
198 adding its contribution to the overall delay before a new link is established. For example, a Wi-Fi link goes  
199 through the process of scanning, authentication, and association before being attached to a new access point. For  
200 intrasubnet handovers, where network layer configurations are necessary, link layer contributes the maximum to  
201 the overall delay.

202 ii. Network layer delay After completion of the link layer procedures, it may be necessary to initiate a network  
203 layer transition. A network layer transition may involve steps such as: acquiring a new IP address, detecting a  
204 duplicate address, address resolution protocol (ARP) update, and subnet-level authentication.

205 iii. Application layer delay The delay of this type is due to reestablishment and modification of the application  
206 layer properties such as IP address while using session initiation protocol (SIP). The authentication and  
207 authorization procedure such as extensible authentication protocol (EAP) includes several round-trip messages  
208 between the MN and the authentication authorization and accounting (AAA) server causing delay in handoff.

209 **9 g) Security in Handoff Procedures**

210 Whenever an MN connects to a point of network access, it establishes a security context with the service  
211 provider. During the handover process, some or all the network entities involved in the security mechanism  
212 may change. Thus the current security context changes as well. The MN and the network have to ensure that  
213 they still communicate with each other and they agree upon the keys to protect their communication. However,  
214 during handovers in networks like GSM/GPRS and UMTS no authentication is used. This makes the handover  
215 procedures vulnerable to a hijacking attack. An attacker can masquerade as an authentic mobile station (MS) just  
216 by sending message at the right frequency and time slot during handover. As long as the attacker does not know  
217 the encryption and/or integrity keys currently being used, he cannot insert valid traffic into the channel. However,  
218 if an attacker can gain access to the key(s) (e.g. because of a missing protection on the backbone network), he can  
219 impersonate the MS. In fact, in GSM/GPRS, UMTS and WLAN networks, no standard protection mechanism  
220 in the backbone network has been specified. Many GSM operators do not protect the radio link between their  
221 fixed networks and the BSs. In UMTS, during a handover, the keys used to protect the traffic between the MS  
222 and the previous BS are reused in communication with the next BS. While the keys are being transmitted, they  
223 can be intercepted by an adversary, if the wireless link is not protected.

224 Usually an authentication process happens before location updates and call setups. The same mechanisms  
225 cannot however, be applied in establishing connection during a handover process because of the stringent time  
226 constraint. In GSM, for example, the time between the handover command and the handover complete or  
227 handover failure message is restricted to 0.5-1.5 s. The generation of an authentication response, however, takes  
228 about 0.5 s at the MS side. Thus an authentication overhead will cause connection disruption. Mobility and  
229 Handoff Management in Wireless Networks 481. As we have seen earlier in this chapter, efficient cell prediction  
230 mechanisms can reduce the signaling overhead between the MS and the old BS. The free time slots may be  
231 used to forward authentication traffic between the MS, the old BS and the new BS. The MS can precompute an  
232 authentication challenge and the encryption and integrity protection keys before the actual change of channel.  
233 When the MS and the new BS establish connection, the MS sends the pre-computed authentication response for  
234 the new BS to check. If the checking yields positive results, a handover complete message is sent and the old BS  
235 releases its resources. Otherwise, a handover failure happens and the MS falls back to the old channel.

## 236 **10 III.**

## 237 **11 Conclusion**

238 This paper has discussed some essential issues on handoff management in the general context of mobility  
239 management in next-generation mobile wireless networks. The mobile IP has been seen as the most widely  
240 used protocol for macro-mobility scheme that solves the problem of node mobility.

241 Future wireless network will be based on all-IP framework and heterogeneous access technologies. Design  
242 of efficient handoff management mechanisms will be playing ever important role in providing seamless services.  
243 Some open areas of research that will play dominant role include QoS issues, user terminals, handoff management  
in wireless overlay networks, and cross-layer optimization. <sup>1</sup>



Figure 1:

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<sup>1</sup>© 2014 Global Journals Inc. (US)

## 11 CONCLUSION

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