

1 Virtual Grader for Apple Quality Assessment using Fruit Size 2 and Illumiation Features

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6 **Abstract**

7 The present paper reports on the development of an intelligent virtual grader for assessing
8 apple quality using machine vision. The heart of the proposed virtual grader was executed in
10 the form of K-Nearest Neighbor (K-NN) classifier designed on the architecture of Euclidean
11 distance metric. KNN classifier is executed for this particular application due to its robustness
12 to the noisy environment. The present study revealed that fruit surface illumination is one of
13 the major deterministic parameters affecting accuracy substantially while assessing apple
14 quality based on fruit size. The performance of the proposed virtual grader was examined
15 experimentally under different conditions of fruit surface illumination. An industrial grade
16 camera connected to an image grabber was used to implement the proposed industrial-grade
17 virtual grader using machine vision. Results of this study are quite promising with an
18 achievement of 99

19

20 **Index terms**— classifier, machine vision, intensity, perimeter, hydraulic radius

21 **1 Introduction**

22 Food Industry is one of the industries in which packaging of agriculture produce is an important task that largely
23 depends upon grading methods. Therefore, grading of agriculture produce is required to be very fast & accurate
24 as far as the quality of produce is concerned. In order to accomplish this task, highly efficient algorithms are
25 needed invariably in machine vision based inspection systems. The basis of fruit gradation is external factors
26 including size, shape, color, defect and external damage, etc. However, consumer choice is always to have fruits
27 with equal size. In fact, this is the basis of sorting of fruits based on their size. Proper sorting of fruit ensures
28 uniformity in fruit size, reduce packaging and transportation costs and also provides an optimum packaging
29 configuration. Thus in packaging industry, grading of fruits based on size is one of the important tasks that
30 are performed. Though human graders can do this task but machine vision has proved to be a great tool that
31 can replace human sorters for consistent and reliable judgment in estimating and comparing size of the fruits.
32 Human graders may make different judgments on the same product at different instances and also if done by
33 human graders it will be time consuming also. Therefore, in order to replace human graders, machine vision
34 is the most effective and non-destructive evaluation technique. Assessment of apple quality based on its size is
35 highly subjective due to a number of factors that may influence the crop maturity during cultivation such as
36 geographical location, weather conditions, rainfall density, nurturing ingredients, disease and industrial effluence,
37 etc. Due to highly subjective nature of the apple quality, it is indeed extremely difficult to make any benchmark
38 or standards for size-based quality assessment. Most of the fruit packaging industries, in fact, largely depend
39 upon the decision of the human experts in assessing or assigning the grade to a particular size of the apple.
40 However, manual grading is obviously a very cumbersome process as far as efficiency and accuracy are concerned.
41 In order to circumvent these difficulties, machine vision based intelligent systems are required urgently to replace
42 human graders for assessing fruit quality. An attempt is made in the present work to replace human grader
43 with a virtual grader for assessing the apple quality based on its size using machine vision. The knowledge or

1 INTRODUCTION

44 intelligence acquired by the human grader with experience in grading apple based on its size is, in fact, imbibed
45 artificially in the proposed virtual grader. Different algorithms had been developed for size determination of
46 fruits, cereals, vegetables and food products under the realm of image processing in the past, which are detailed
47 below.

48 Emphasis on important aspects of image processing technique along with review of the most recent
49 developments throughout the food industry was already reported [1]. Another review of recent work reported on
50 food and agricultural products along with in depth introduction to machine vision system and its components
51 was also available [2]. Different techniques for apple processing were studied and features such as hue angle, shape
52 defect, circumference, firmness, weight, blush percentage, russet, bruise content and number of natural defects
53 were defined [3].Reviews in the progress of computer vision in the agricultural and food industry was done and
54 areas for further research and wider application of the technique were identified [4]. Correlation and regression
55 analysis was performed in order to determine the relationships among fruit quality parameters [5]. Image analysis
56 was done to distinguish Arthur from Arkan based upon different parameters namely perimeter, area, length, feret
57 diameter but proper positioning of kernels was G . e-mails: ajaypal@sliet.ac.in, aps.aps67@gmail.com. mandatory
58 [6]. Pattern recognition was employed to discriminate between wheat and nonwheat and between weed seeds
59 and stones in nonwheat part of grain samples but manual orientation of kernel was required [7].Fast Fourier
60 transform analysis was derived from Fourier harmonics in conjunction with machine vision system for potatoes
61 size inspection based on elongation ratio [8]. To classify several cereal grains, size and shape features including
62 kernel length, width, projected area and aspect ratio were used [9].Fourier descriptor technique [10], a method of
63 using boundary radius and its Fourier transform to spectrum domain, was studied for size description.

64 A color vision sorter capable of performing full color spectral sorting of different varieties of fruits and vegetables
65 including apples, peaches, tomatoes and citrus was developed for color, size and shape, with a capacity of up
66 to 44 tons per hour [11]. However, much of the above work had not been used in commercial apple sorting
67 systems because of the constraints in speed, accuracy and flexibility. Correct classification rates (CCR) were
68 calculated from the confusion matrix. The overall accuracy was 94 %.Three methods were discussed for apple
69 size determination by applying known geometrical models [12]. A simplified Machine vision system was developed
70 for estimating size of pomegranates [13]. It allows the estimation of volume, surface area and weight of fruit
71 using prediction equations developed from the relationship between projected area, shape and size. An automatic
72 detection system for finding out surface quality parameters and defects of fruits like apples was designed [14].But
73 this paper mainly deals with mechanical aspects. Image processing method with the disk approximation technique
74 was employed to estimate the volume of cantaloupes of varying sizes from sets of two surface images captured
75 with a digital camera ??15]. Algorithm to grade papaya samples according to their size using estimated weight
76 information with 90% classification accuracy was reported ??16].A technique was developed using fuzzy sets to
77 correlate the attributes of size, color, shape and abnormalities, obtained from tomato images, with the inner
78 quality of the tomato samples ??17].

79 Another automatic strawberry sorting system was developed ??18] with average shape and size accuracies of
80 98 and 100%, respectively, regardless of the fruit orientation angle with judgment time within 1.18 s. Physical
81 features of chocolate chip biscuits, including size, shape baked dough color, and fraction of top surface area using
82 image analysis were measured and four fuzzy models were developed to predict consumer ratings based on these
83 features ??19]. Kohanz apple fruit area, perimeter and eccentricity were extracted by image processing to suggest
84 an appropriate package design ??20]. High Correlation between the maximum size and weight of fruit prove that
85 the weight could become a proper quality index for apples ??21].An algorithm for sorting lemon based on color
86 and size was developed and implemented in visual basic environment ??22]. The correct classification rates were
87 95.45 %, 100%, and 86.67% for grade 1, 2 and 3 respectively. A fuzzy image analysis method based upon size
88 and color had been reported for mango fruit quality grading [23].The recent techniques and features of external
89 grading systems for non-destructive operation and performance of automated quality verification systems for
90 agriculture products were discussed [24].

91 After having rigorous literature review, it is concluded that above methods work well only for a particular
92 quality of a fruit or vegetable or cereal with efficiency less than 100 % and also they fail to address the effect of
93 an important parameter that is intensity of fruit surface illumination. However based on the successful results
94 of these studies, the authors of present paper decided to estimate fruit quality based on size using K-nearest
95 neighbor (K-NN) classifier while considering intensity as one of the important deterministic parameters. The
96 performance of different variants of K-NN classifier is also examined at different values of fruit surface exposures
97 by the authors of the present paper in their earlier work on quality assessment of red delicious apples using color
98 features [25]. An intelligent virtual grader was also developed based on the architecture of Euclidean metric
99 oriented K-NN classifier for estimation of quality of red delicious apples using color features [26]. Similarly,
100 another type of virtual grader was also developed for assessing apple quality using shape features [27]. In line
101 with this strategy, the use of K-NN classifier is extended in the present work to develop an intelligent virtual
102 grader for estimation of apple fruit quality using size features. In fact, the work reported in the present paper is
103 a part of the complete machine vision based apple gradation system for assessing apple quality using information
104 related to fruit size, shape, color and surface defect.

105 2 II.

106 3 K-Nearest Neighbor (K-NN) Classifier

107 The main goal of a classifier is to assign an object to a predefined class using the given features. Machine vision
108 systems usually use specially designed digital image processing software to accomplish the task of classification.
109 Size is considered to be one of the important factor on the basis of which grading of apple is done. K-NN algorithm
110 is a widely used technique that found many applications in classification. In the present work, the concept of
111 classification is extended to determine accurately the apple fruit quality based on its size. However, in some
112 applications, it may fail to produce adequate results due to lack of in depth knowledge in its implementation,
113 yet the fact is that it is easy to fine-tune to a variety of situations because it has only one parameter, that is, the
114 number of neighbors (k). The image processing software, the heart of the proposed virtual grader, was executed
115 in the form of a size-classifier using NI Vision Builder for Automated Inspection in combination with LabVIEW.
116 NI Vision Builder for Automated Inspection was chosen for the development of image processing software in
117 LabVIEW environment, as it provides an effective graphical user interface with interactive features. K-NN
118 algorithm using Euclidian distance metric was used to execute the sizeclassifier. The size-classifier calculates the
119 size features by carefully extracting the features from the acquired image and computes the statistical information
120 associated with the size including perimeter and hydraulic radius.

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122 5 IV. Experimental Validation

123 The proposed virtual grader was designed to operate in a real time environment, however, in a highly user-
124 interactive manner. Its operation was controlled under the supervision of specially designed Algorithm of Control
125 & Computations executed in the form of block diagram as back-end programming.

126 6 a) Samples Preparation

127 Covering a wide range of size, two hundred apples of red delicious variety were taken. Three human experts
128 trained in the field of size-based manual grading of this particular variety of apples were given the samples. So
129 four grades A, B, C and D having 25 samples each was selected. Fifteen samples in each grade were taken as
130 reference samples that were commonly agreed upon by all the experts. These samples were used for training the
131 K-NN based sizeclassifier as per the following details. The inspection image contains multiple samples. These
132 were fed to the executed size classifier for training. The proposed size classifier was executed on the architecture
133 of K-NN algorithm powered with Euclidean metrics. The executed size classifier was operated in three phases
134 including training phase, classifying phase and validation phase. A known sample consists of a region in the
135 image containing the apple size that the classifier needs to learn. The size-classifier calculates a size features and
136 assigns the associated class label to the computed features, for each and every sample added during the training
137 phase. Eventually, all the trained samples added to the size-classifier were saved into a file which represents
138 a trained sizeclassifier. After training the classifier, regions were classified into their corresponding classes for
139 size identification in apple quality. The Region of Interest (ROI) toolbar was used to define a region which was
140 useful for training. The Annulus ROI tool was chosen for apple, because it adjusts the inner and outer radii,
141 and also adjusts the start and end angles. Experimentation was conducted using database of 60 training samples
142 selected by three human experts, with 15 samples in each category and at five different intensities of apple surface
143 illumination including 170 lux, 253 lux, 310 lux, 405 lux and 486 lux. However, the optimal number of training
144 samples (k) and illumination intensity were determined after rigorous experimental trials using executed size-
145 classifier. In the training phase, the sizeclassifier was provided with samples of each grade, that is, A, B, C and D
146 varying from 1 to 15 respectively. In order to do so, in training phase, the size classifier was trained first with one
147 sample of each grade and its performance was examined at five different illumination intensities of ambient light
148 source (natural day light) taken at different instance of time. Then the experiment was repeated again fourteen
149 times by varying sample of each grade from two to fifteen. From repeated experimental trials, it was established
150 that the proposed size-classifier works effectively at an illumination intensity of 310 Lux when k-NN was trained
151 with eight (k = 9) number of training samples, each with four different grades. It was also established that when
152 the fruit under examination was not properly exposed with proper illumination intensity, the results obtained
153 with the proposed classifier were less accurate. Reason behind this was that when light intensity varies ambient
154 occlusion plays its affect. Ambient occlusion adds visual realism to the image without being physically correct.
155 The effect of ambient occlusion can well be seen in the results presented in tables 1 and 2. The range of perimeter
156 and hydraulic radius for a grade varies with intensity.

157 phase were again given to the proposed virtual grader one by one (choosing k = 9 and illumination intensity
158 310 lux), however, operated in an automatic mode, which classifies and grade them according to their size content.
159 In the classifying phase, the size classifier calculates the size features of the sample that need to be identified and
160 classifies it among trained samples using K-NN Algorithm. The classification process was responsible to classify
161 the input or user selected fruit by using K-NN algorithm. This measure the distance between features values
162 of the stored fruit under test. Afterward the K-NN finds out among the stored fruit the one having shortest
163 distance with the input and identifies and assigns the class to the input fruit.

164 7 d) Size classifier validation

165 Again covering a wide range of size, one thousand apples of red delicious variety were taken. Three human
166 experts trained in the field of size-based manual grading of this particular variety were provided each with the
167 same number of samples to have an individual trial of each. After having individual judgment from them, they
168 were each asked to choose 200 apples of each of the four grades out of a set of one thousand apples. Then out of
169 these four sets, 100 samples in each grade were taken as reference samples that were commonly agreed upon by
170 all the experts. In this way, four sets of fruits containing 100 fruits in each set were selected with corresponding
171 A, B, C and D grades. In the validation phase, the selected 100 validation samples selected by human experts
172 were given to the proposed virtual grader operated at illumination intensity of 310 lux and keeping $k=9$, which
173 classifies and grade them according to their size content. Accordingly, the performance of the virtual grader was
174 found to be quite satisfactorily as confirmed from the results presented in the following section.

175 8 V. Results and Discussion

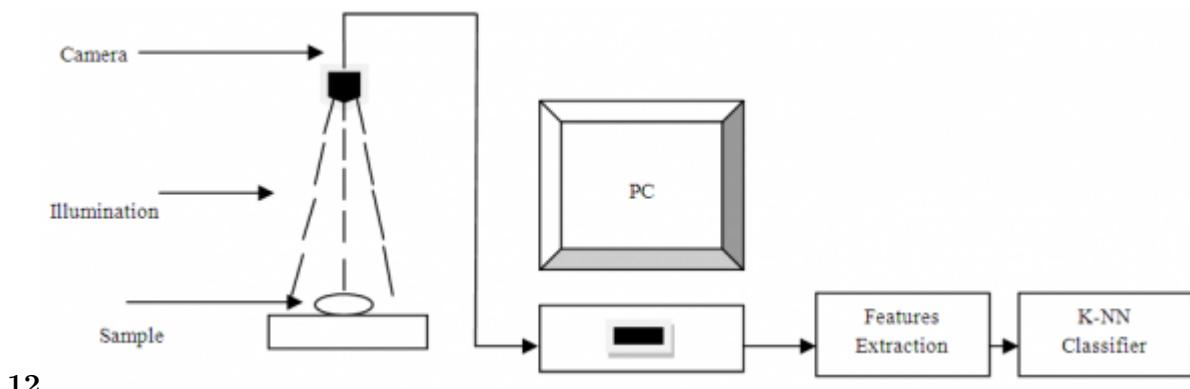
176 The proposed virtual grader was used practically to estimate the quality of red delicious apple fruits based on
177 their size. In order to examine the performance of the proposed virtual grader, it was brought in the operational
178 mode by switching it on. In operational mode, the size-classifier operates in the classifying phase while acquiring
179 images automatically. As soon as the image of the apple under inspection was acquired, it automatically displays
180 its grade along with other related parameters on the Front Panel. After having repeated experimental trials, it
181 was found that the proposed virtual grader works effectively at an illumination intensity of 310 lux when K-NN
182 classifier was trained with nine numbers of training samples. It had been found experimentally that the proposed
183 virtual G confirm the results obtained in the training and classifying phases. In fact, efficiency achieved using
184 proposed virtual grader is 99%, if manual grading is assumed to be 100% efficient as reference level. However
185 this 1% variation was due to subjective judgment of human graders in perceiving the apple fruit during manual
186 grading, which of course, is inevitable. Moreover, the repeatability of the proposed system was found to be 100%
187 as confirmed after rigorous experimental validation. Achievement of 99% accuracy at repeatability of 100%,
188 established that Euclidean distance metric based K-NN classifier was an efficient method to translate human
189 visual perception of grading the apple based on fruit size into machine vision. However, the manual grading was
190 always manifested with subjective tolerance. This fact was also confirmed by three human experts chosen for
191 manual grading. According to them, it was not possible for them also to decide the border cases. Now, in order
192 to establish empirically the reason for successful gradation of the apple fruit under inspection by the proposed
193 virtual grader, using acquired fruit images, size features including perimeter and hydraulic radius were estimated
194 using image processing algorithms implemented in the LabVIEW at different fruit surface exposures.

195 9 Conclusion

196 A new type of virtual grader is developed to estimate apple quality from its size. The implemented system
197 is used effectively in real time environment to grade red delicious apple using fruit size features. It has been
198 established experimentally that Euclidean distance metric based K-NN Classifier achieves promising results for
199 this particular application. It is also found that the efficiency is the highest at a particular value of illumination
200 intensity as well as optimal number of ¹



Figure 1: G



12

Figure 2: Figure 1 Figure 2 :G



3

Figure 3: Figure 3 :

9 CONCLUSION



Figure 4: Figure 4 :



Figure 5:

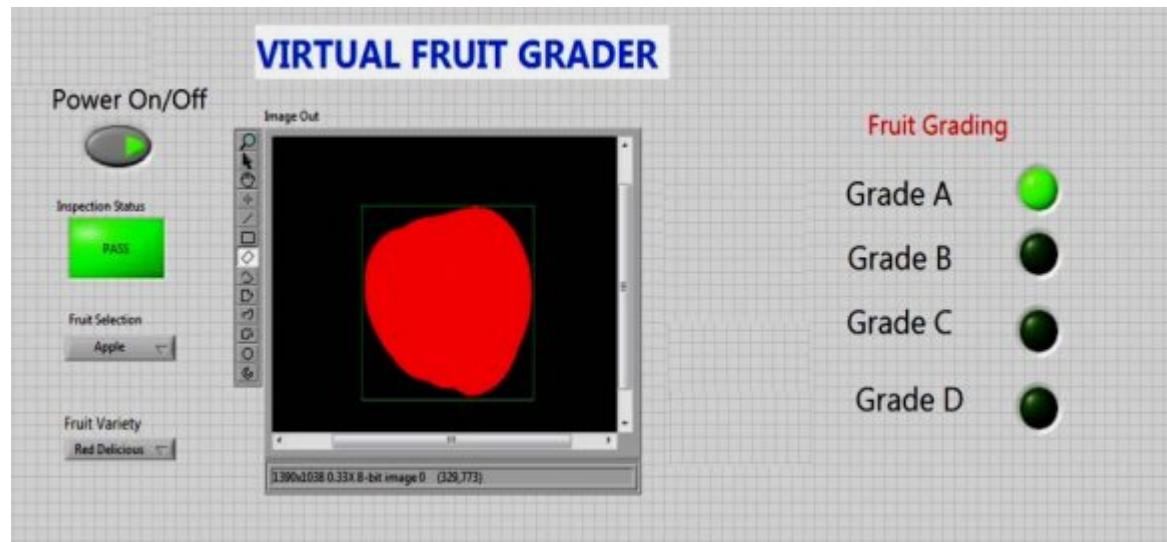


Figure 6: Virtual

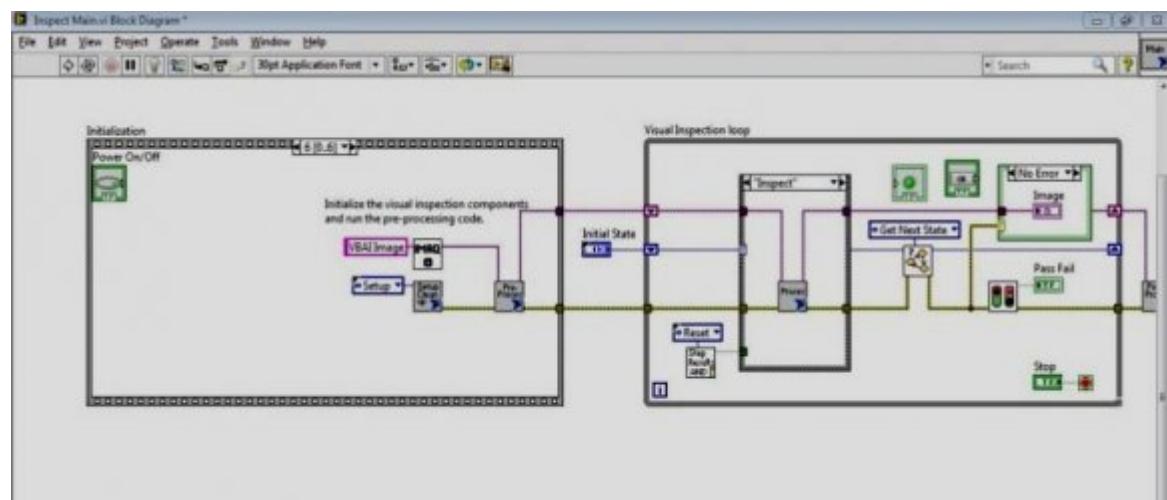


Figure 7:

1

Intensity (Lux)	Grade-A Pixels	Grade-B mm Pixels	Grade-C Mm Pixels	Grade-D Pixels	mm
486	2485-2290	391-360	2321-1991	365-313	2067-1972
405	2413-2239	379-352	2277-1946	358-306	2010-1844
310	2347-2131	369-335	2141-1908	337-300	1902-1730
253	2329-2143	366-337	2103-1895	331-298	1965-1685
170	2361-1978	371-311	2025-1717	318-270	1883-1749

Figure 8: Table 1 :

2

Intensity (Lux)	Grade-A Pixels	Grade-B mm Pixels	Grade-C Mm Pixels	Grade-D mm Pixels	mm

Figure 9: Table 2 :

3

Grade	Perimeter Range Pixels	Hydraulic Radius Range Pixels	Mm
A	2347-2131	369-335	165-158
B	2141-1908	337-300	156-149
C	1902-1730	299-272	138-128
D	1736-1682	273-264	130-121

VI.

Figure 10: Table 3 :

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